



Consiglio Nazionale
delle Ricerche

SoNS

SCHOOL OF NEUTRON SCATTERING
FRANCESCO PAOLO RICCI



Atomic quantum dynamics in water: neutron experiments to benchmark state-of-the-art modeling

Lecture 2

Roberto Senesi

Università degli Studi di Roma “Tor Vergata”, Dipartimento di Fisica
and Centro NAST

CNR- IPCF Sezione di Messina

Associazione School of Neutron Scattering “Francesco Paolo Ricci ”

Erice School “NEUTRON SCIENCE AND INSTRUMENTATION”: WATER AND WATER SYSTEMS

How to measure nuclear quantum effects in an experiment? Measurements of momentum distributions using inelastic neutron scattering

• Can we measure $\Psi(x), \Psi(p)$? No

• Deep Inelastic Neutron Scattering allows to measure $|\Psi(p)|^2$

• Not exactly- DINS can probe $n(p)$

• That is, the distribution (probability density) of atomic (nuclei) momentum being equal to p

The Fourier Transform of

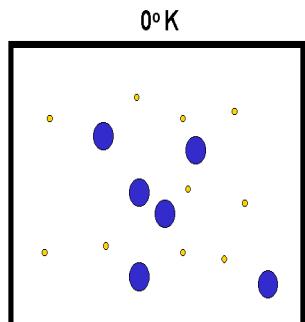
$$|\Psi|^2$$

is $n(p)$ Momentum distribution

The variance of $n(p)$ is

$$\langle E_K \rangle = \frac{\langle p^2 \rangle}{2M}$$
 Kinetic energy

$$\langle E_K \rangle \gg 1.5k_B T !!$$

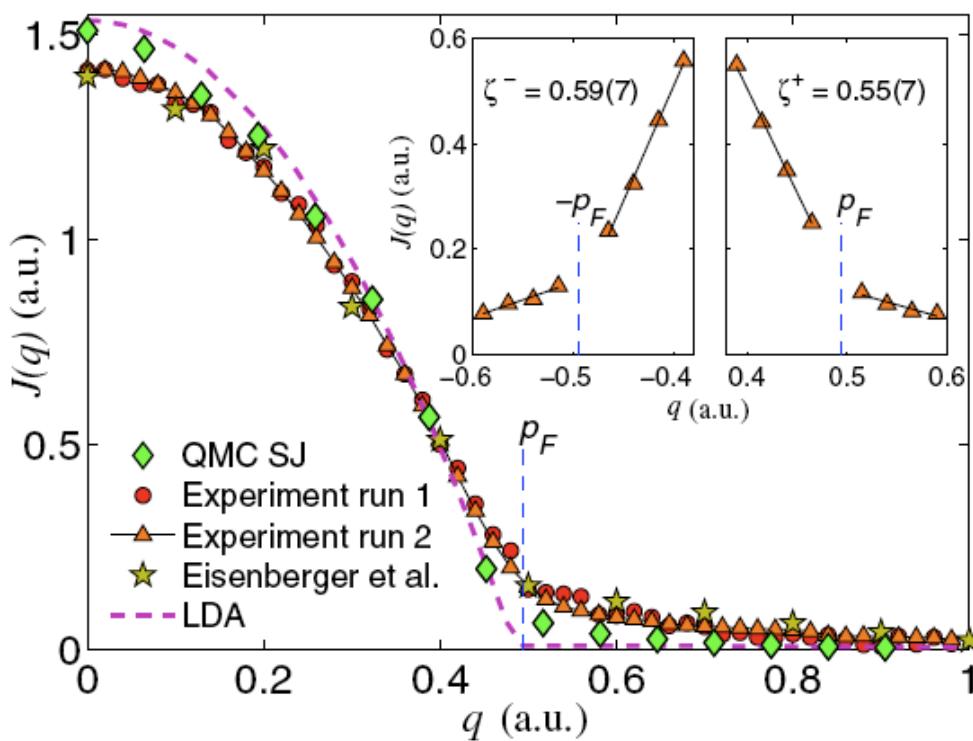


Experiments can be designed in a similar way to X-Ray Compton scattering.

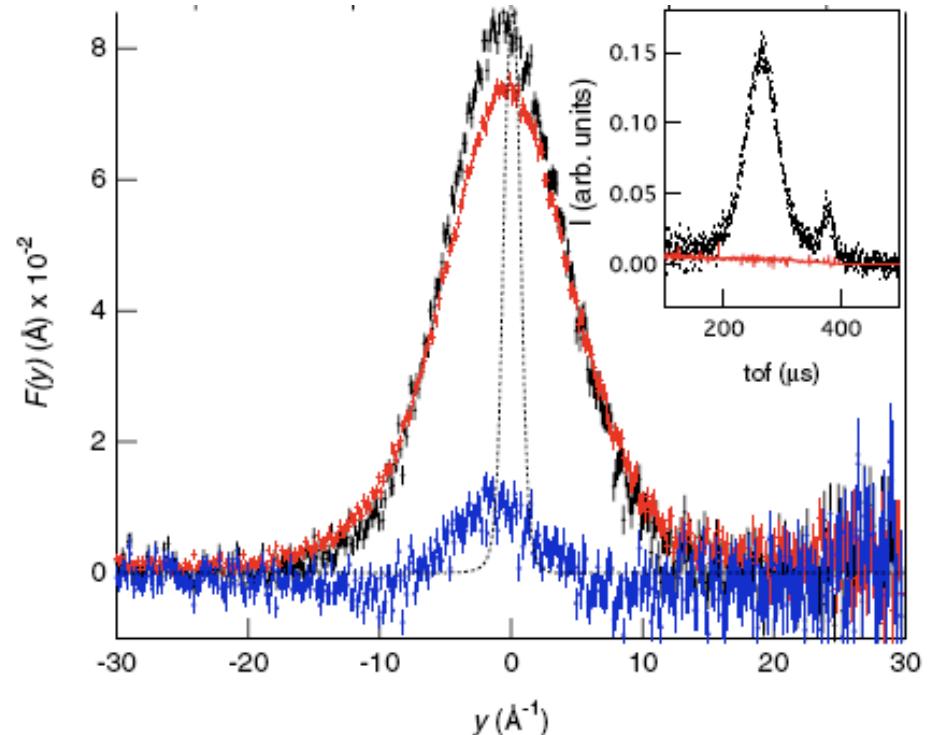
Difference: X-ray Compton is for electrons, DINS is for nuclei!

Momentum resolution- similar to X-Ray Compton scattering

Electrons, using ID16 at ESRF



Prottons, using VESUVIO at ISIS



$$q = \vec{p} \cdot \hat{q}$$

$$y = \frac{\vec{p}}{\hbar} \cdot \hat{q}$$

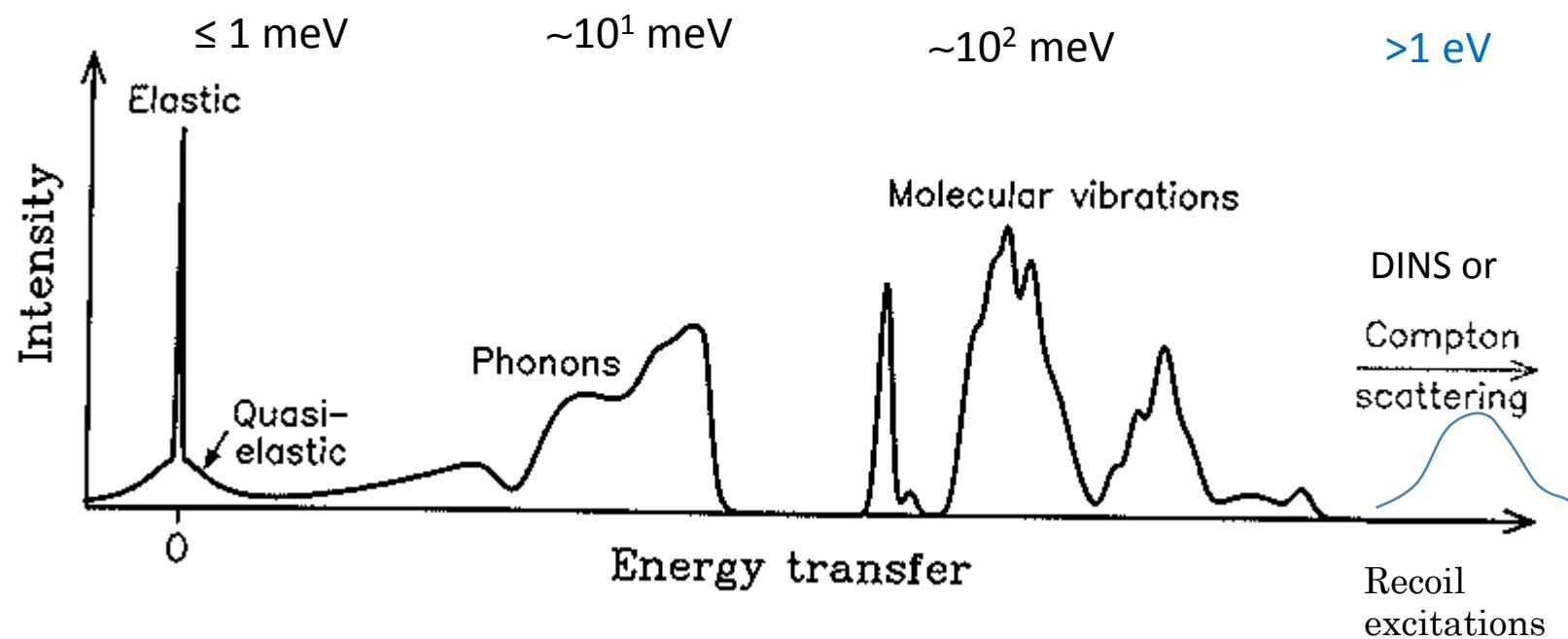
Typical neutron spectrum from modern neutron sources
is rich of neutrons of energies above 0.4 eV!

Energy [eV]	Wave length [\AA]
0.4	0.45
1	0.29
10	0.09
20	0.06
50	0.04
100	0.03

Which energy and length scales can be probed?

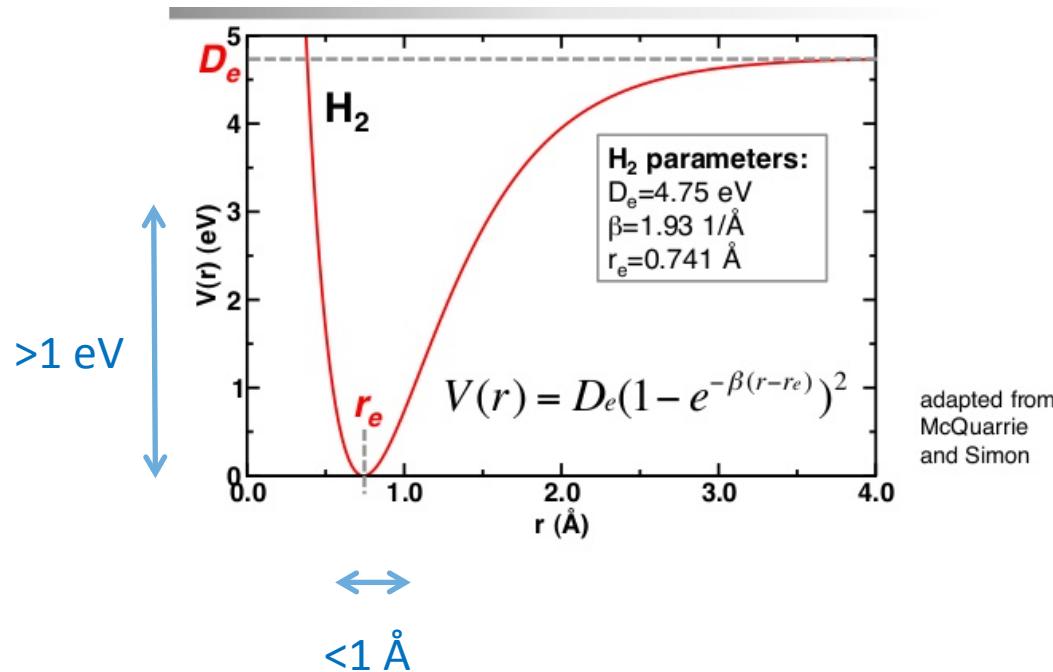
Which energy and length scales can be probed?
 Collective and single-particle excitations

Energy [eV]	Wave length [\AA]
0.4	0.45
1	0.29
10	0.09
20	0.06
50	0.04
100	0.03



From: "Elementary Scattering Theory For X-ray and Neutron Users" D.S. Sivia OUP (2011)

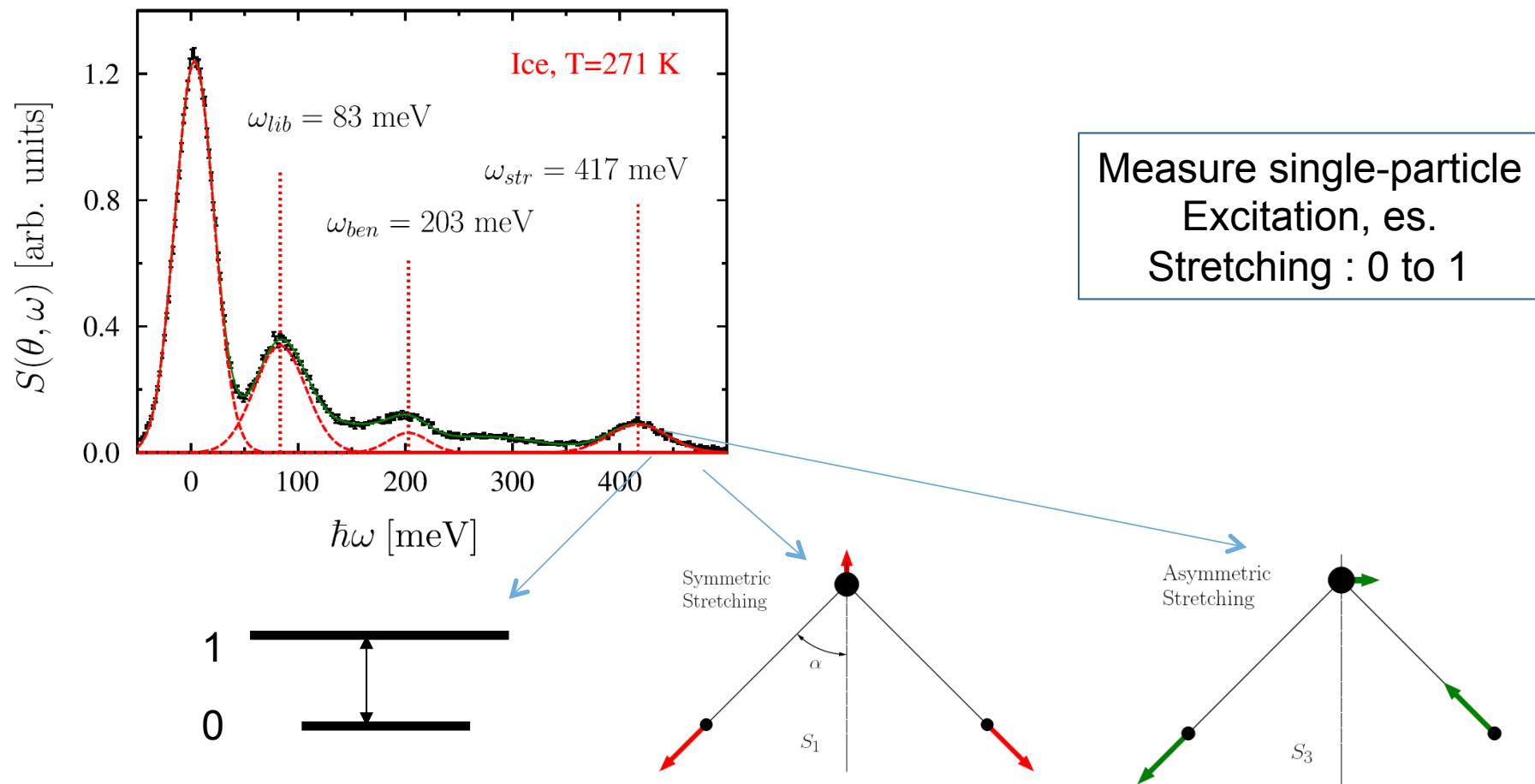
Does this wave length range match with atomic binding scales ?



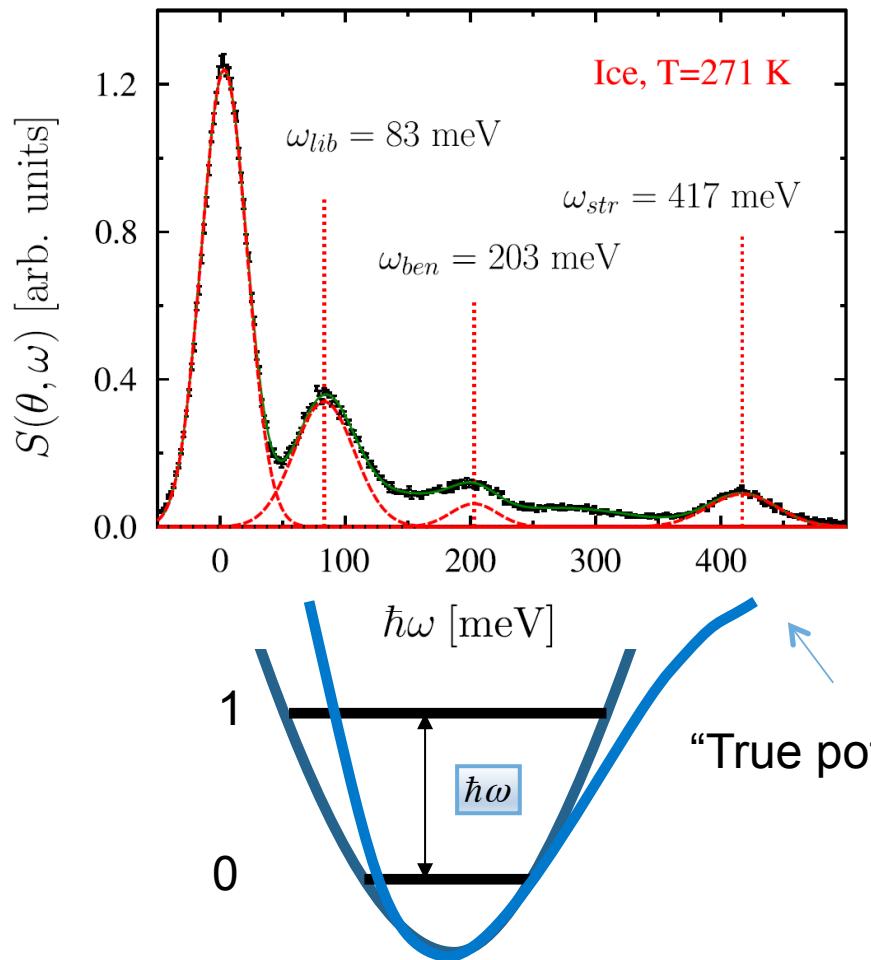
Neutron Energy [eV]	Wave length [Å]
0.4	0.45
1	0.29
10	0.09
20	0.06
50	0.04
100	0.03

H-H binding (Morse potential) in the H_2 molecule

Temptation: use inelastic neutron scattering



Temptation: use inelastic neutron scattering-needs assumptions and more complicated



Measure single-particle Excitation, es. 0 to 1

Assume an underlying parabolic potential

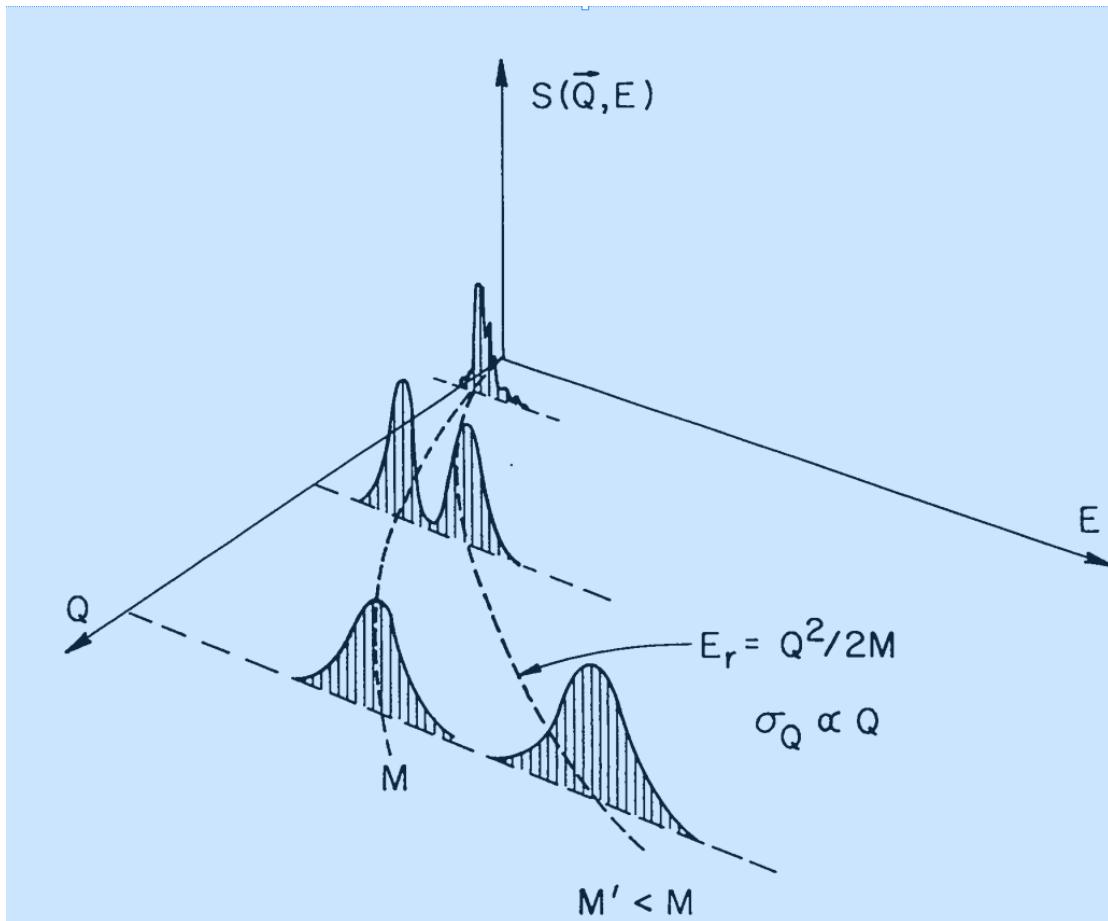
Derive the density of vibrational states $g(\omega)$

Integrate the density of states

$$\langle E_K \rangle = \frac{3}{4} \int d\hbar\omega \hbar\omega g(\hbar\omega) \coth\left(\frac{\hbar\omega}{k_B T}\right)$$

Deep inelastic Neutron Scattering does the job

thumb:
width= small quantum
width= large quantum

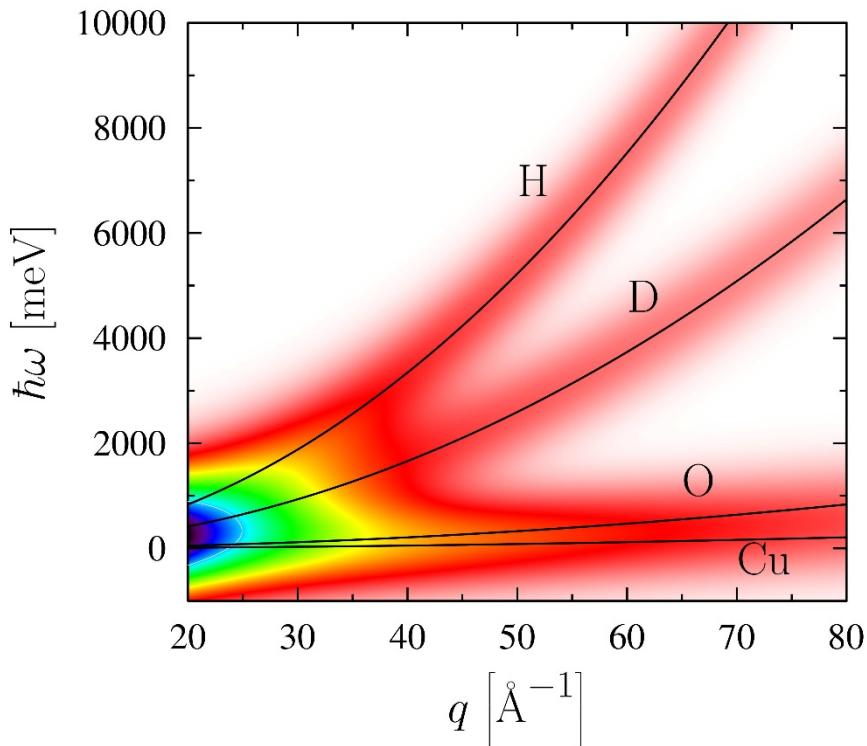


From R.O. Simmons
LA-10227-C (1984)

Please recall the lecture by Carla Andreani!

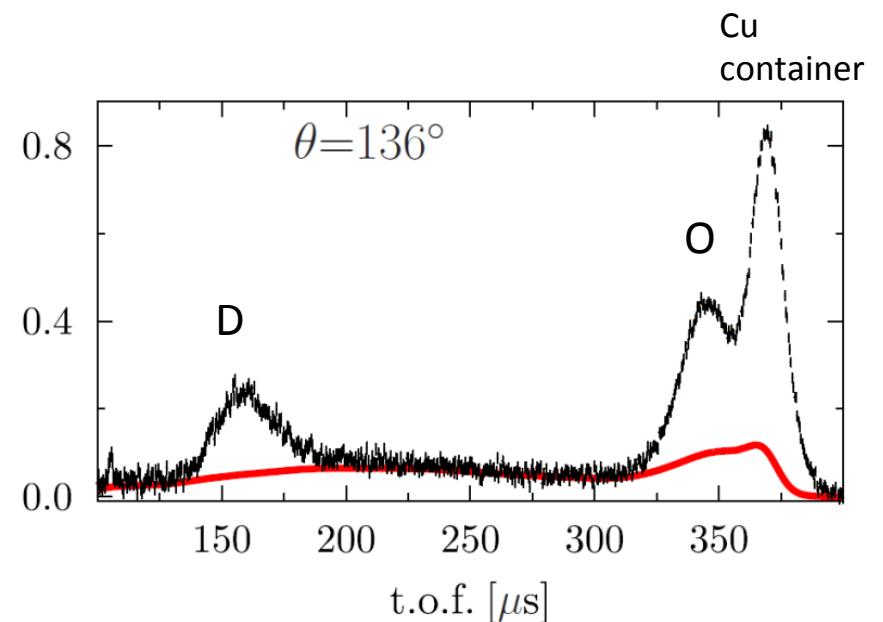
Deep Inelastic Neutron Scattering (DINS)- a recoil excitation spectroscopy
VESUVIO spectrometer at ISIS

$$\frac{M}{\hbar^2 q} (\hbar\omega - \hbar\omega_r) = \frac{1}{2} \vec{p} \cdot \hat{\vec{q}}$$



Neutron Energy [eV]	Wave length [\AA]
10	0.09
20	0.06
50	0.04

Pulsed source: Time of flight technique



- 1) Measurement of the stoichiometry
- 2) Width of recoil peaks is $\propto \langle E_K \rangle^{\frac{1}{2}}$

Nuclear quantum effects on kinetic energy and momentum distribution across the water's phase diagram

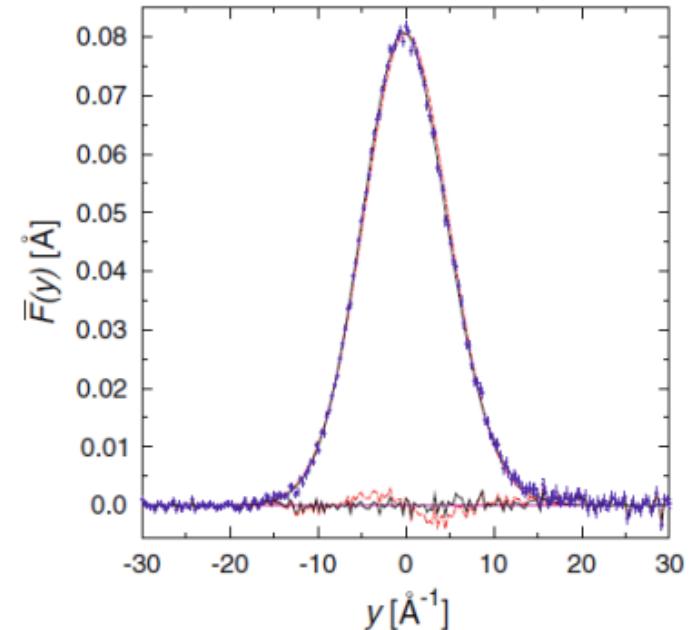
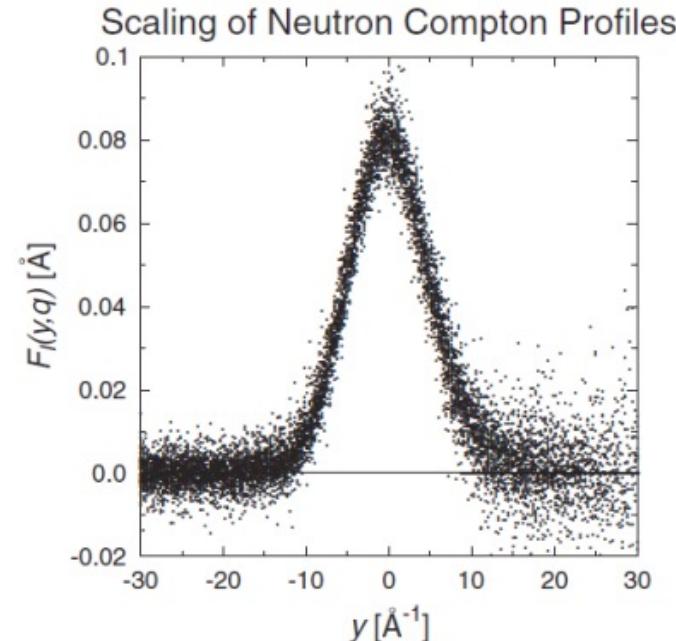
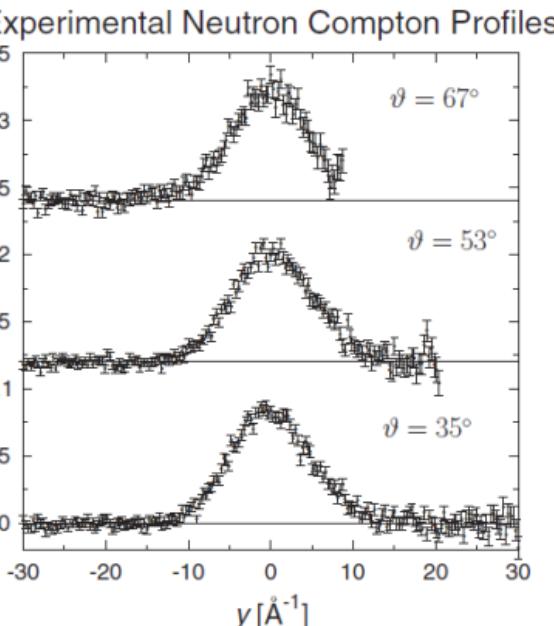
- Polycrystalline hexagonal ice
- Disorder: amorphous ices
- Competing quantum effects across melting
- Supercooled (and room temperature) bulk liquid water
- Nano confined water: Silica nanopores; Graphene oxides; surface of proteins and DNA, cements
- Supercritical water

crystalline hexagonal ice-Experiments meet Quantum Monte Carlo modeling: H-bonded syst

Will use this system at T=271K as a benchmark

If quantum effects were absent, then kinetic energy would be 35 meV. Experiments and theory show that for protons kinetic energy is above 150 meV!

J. Chem. Phys. 136, 024504 (2012)



example of normalized $F_l(y, q)$ (markers with error bars), for three angles. Two of the spectra are shifted upwards for clarity. For

crystalline hexagonal ice-Experiments meet Quantum Monte Carlo modeling: H-bonded system

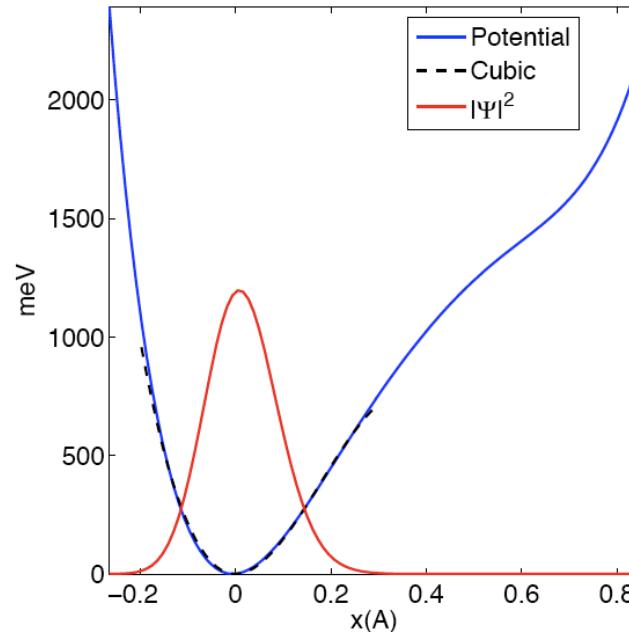
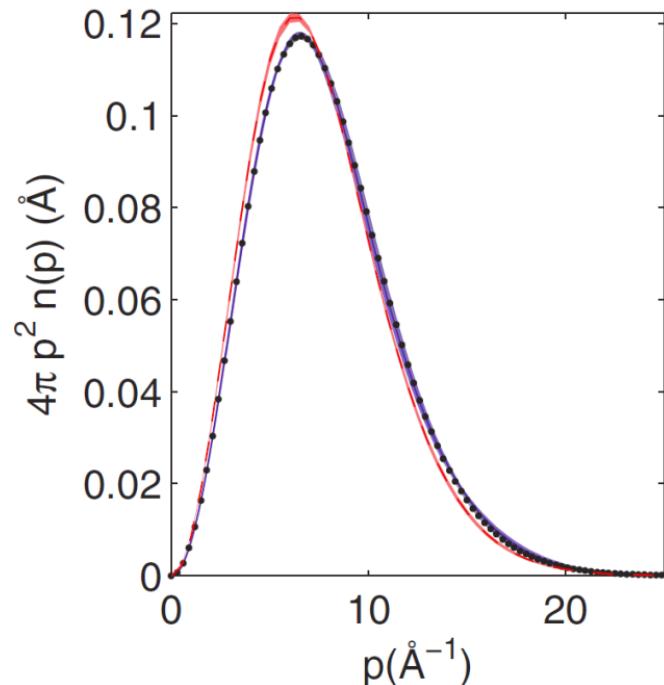
Spherical momentum distribution of the protons in hexagonal ice from modeling of inelastic neutron scattering data, D. Flammini , A. Pietropaolo, RS, C. Andreani, F. McBride, A. Hodgson, M. A. Adams, L. Lin, and R. Car, JCP 2012

HOW?

Measure the quasi-harmonicity of the directional motions of hydrogen in ice

+

PICPMD by Roberto Car and Lin Lin



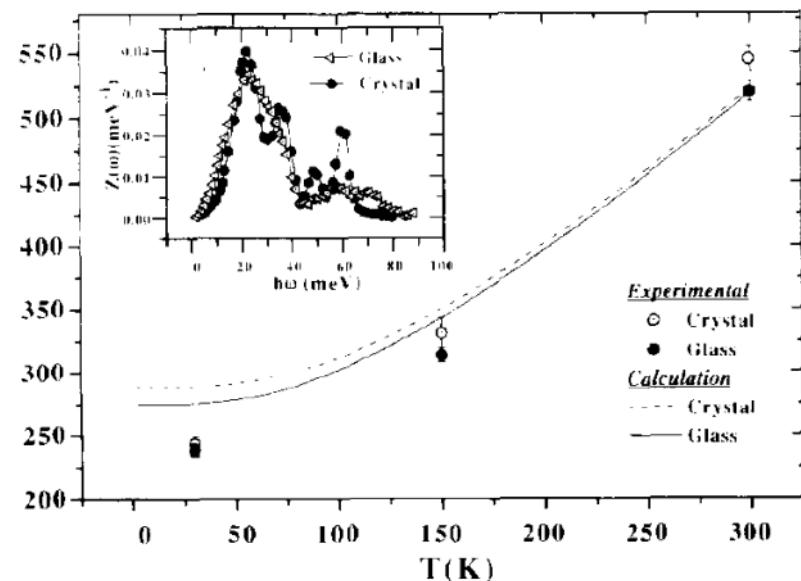
PHYSICAL REVIEW B 83, 220302(R) (2011)

Momentum distribution, vibrational dynamics, and the potential of mean force in ice

Lin Lin,¹ Joseph A. Morrone,^{2,*} Roberto Car,^{1,2,3,†} and Michele Parrinello⁴

Nuclear quantum effects on disordered systems: amorphous ices

For disordered systems, interpretation of vibrational spectra is not straightforward. Measurements of momentum distribution can probe the difference between polycrystalline and disordered phase of materials at the same temperature



3. Kinetic energy for the glass and crystal phase obtained from our experiment and those calculated from Eq. (6). Inset: densities of states used in the calculation (see text for details).

widowski, Bermejo, Ni-B metallic glass
obed by DINS, PLA 1996

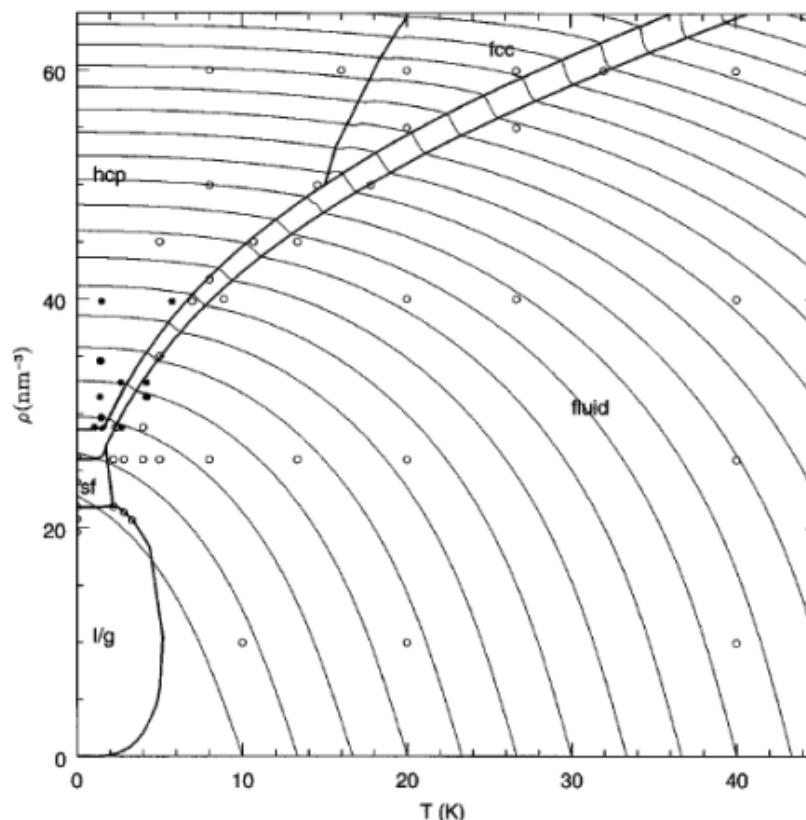


FIG. 2. Contour plot of the PIMC kinetic energy of ${}^4\text{He}$ as a function of temperature and density. The experimental phase transitions (to fluid, superfluid, hcp, and fcc crystals) are shown as dark lines. The kinetic energy contours are shown every 5 K. Their values can be ascertained by their intercepts on the

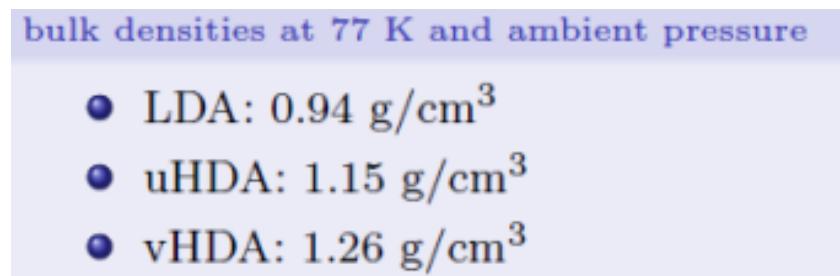
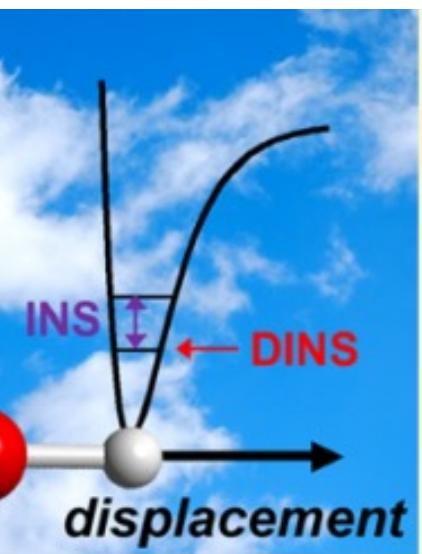
Fluid and solid
in the same
temperature-de
range

Ceperley et al PR

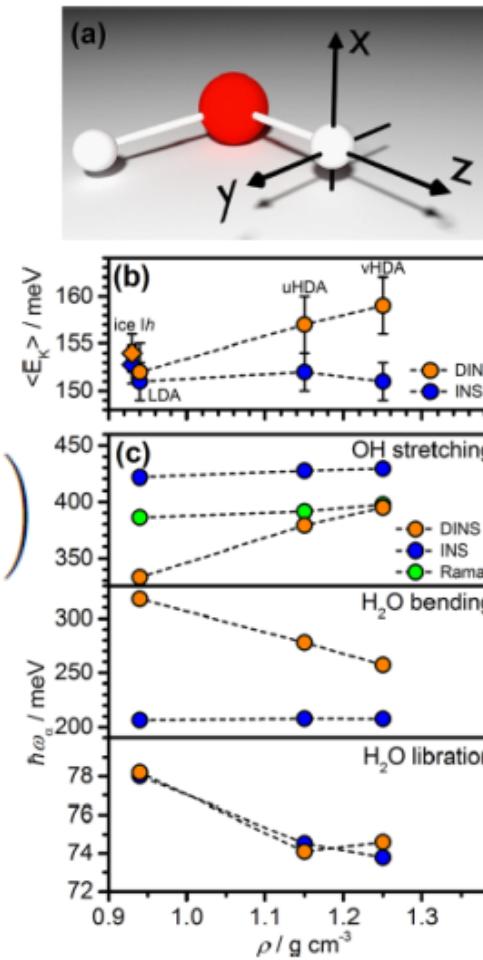
Nuclear quantum effects on disordered systems: amorphous ices

Evolution of Hydrogen Dynamics in Amorphous Ice with Density JPCL 2015

A. Parmentier,[†] J. J. Shephard,^{‡,§} G. Romanelli,[†] R. Senesi,^{†,||} C. G. Salzmann,^{*,‡} and C. Andreani^{*,†,||}



$$n_M(p) = \mathcal{N} \int_{\Omega} \frac{d\Omega}{4\pi} \exp \left(-\frac{p^2}{2} \left[\frac{\sin^2 \theta \cos^2 \phi}{\sigma_x^2} + \frac{\sin^2 \theta \sin^2 \phi}{\sigma_y^2} + \frac{\cos^2 \theta}{\sigma_z^2} \right] \right)$$

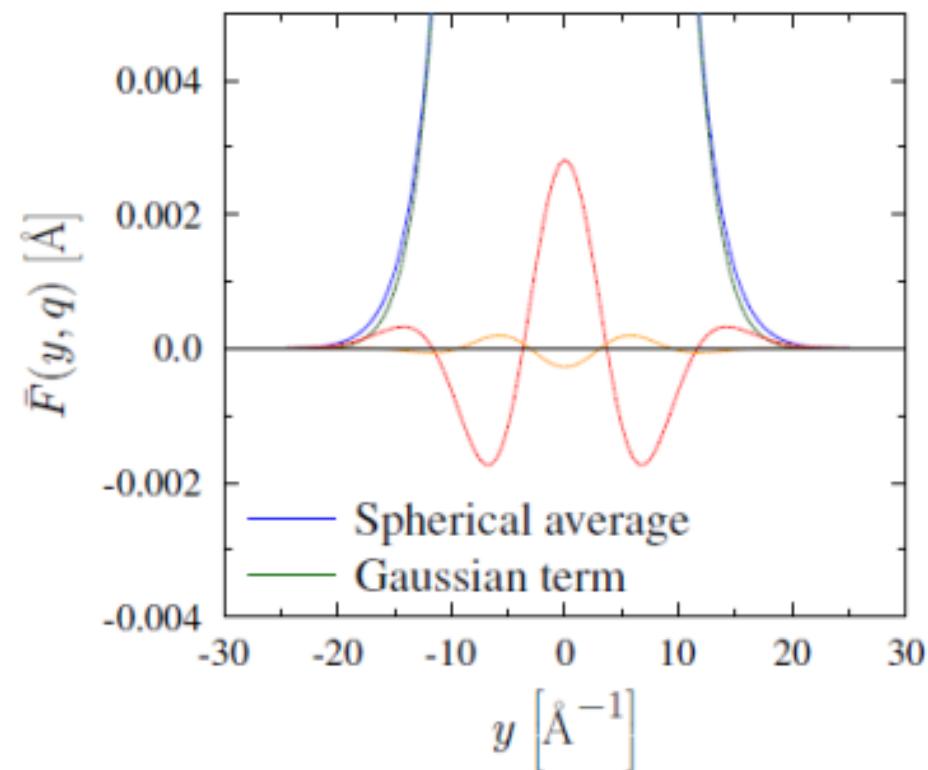
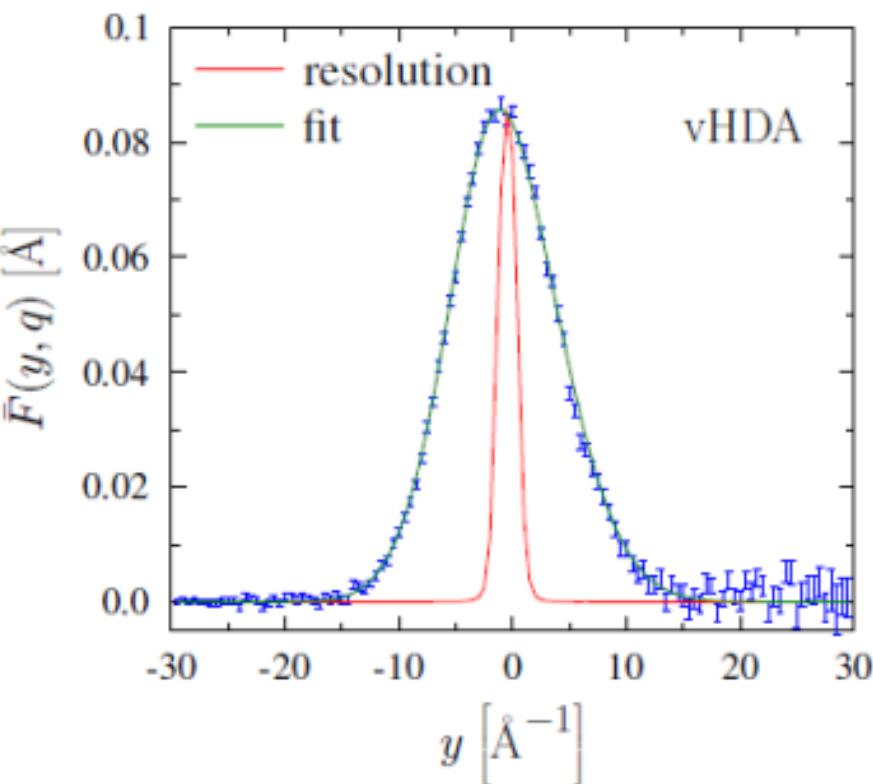


Measured mean kinetic energies of the hydrogen nuclei are found to increase with increasing density, indicating the weakening of hydrogen bonds as well as a trend toward steeper and more harmonic hydrogen vibrational potential energy surfaces.

Nuclear quantum effects on disordered systems: amorphous ices

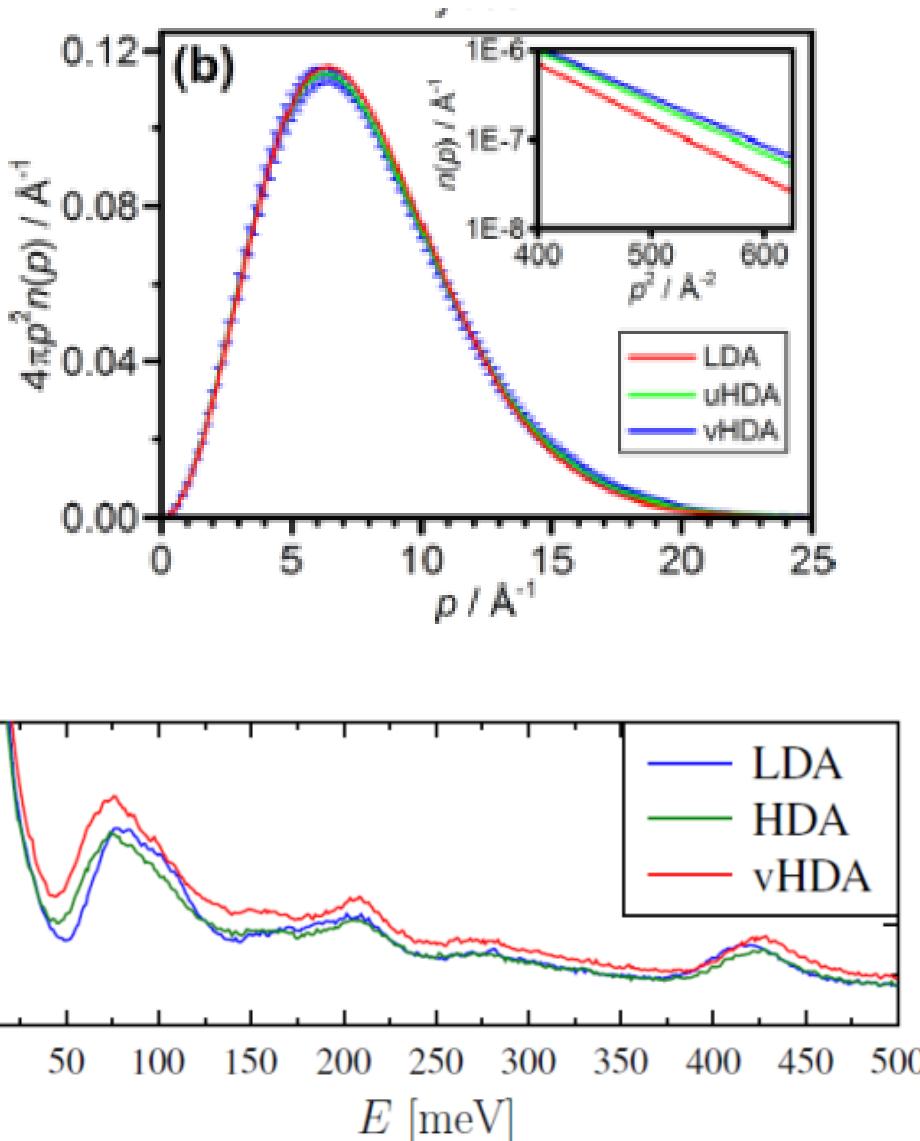
Evolution of Hydrogen Dynamics in Amorphous Ice with Density JPCL 2015

A. Parmentier,[†] J. J. Shephard,^{‡,§} G. Romanelli,[†] R. Senesi,^{†,||} C. G. Salzmann,^{*,‡} and C. Andreani^{*,†,||}



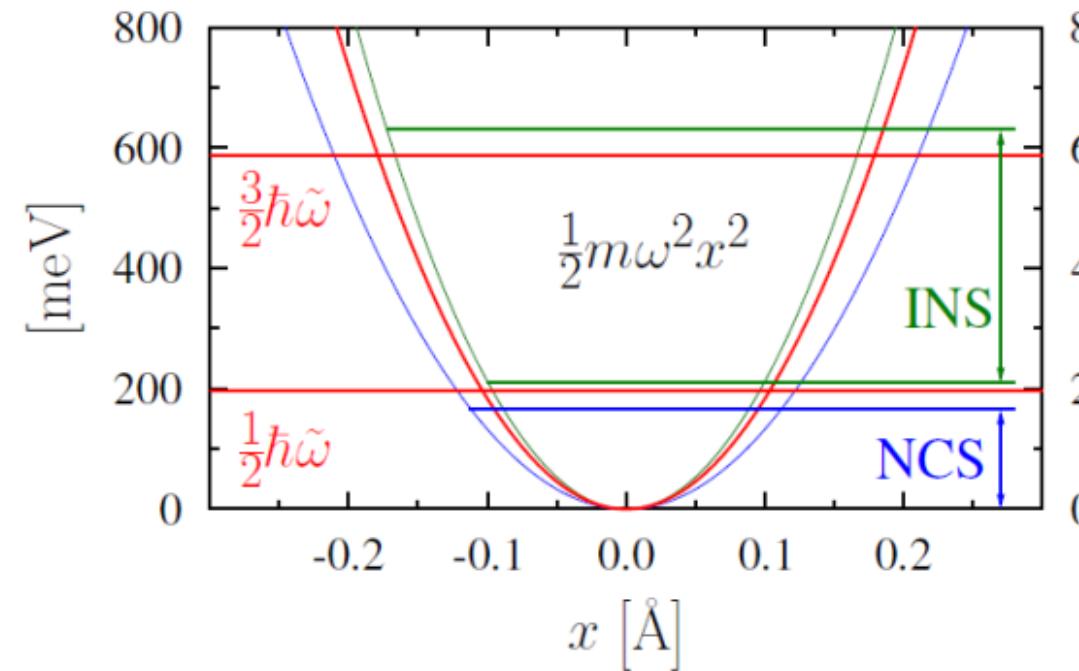
Sensitivity to anisotropic momentum distribution

Nuclear quantum effects on disordered systems: amorphous ices

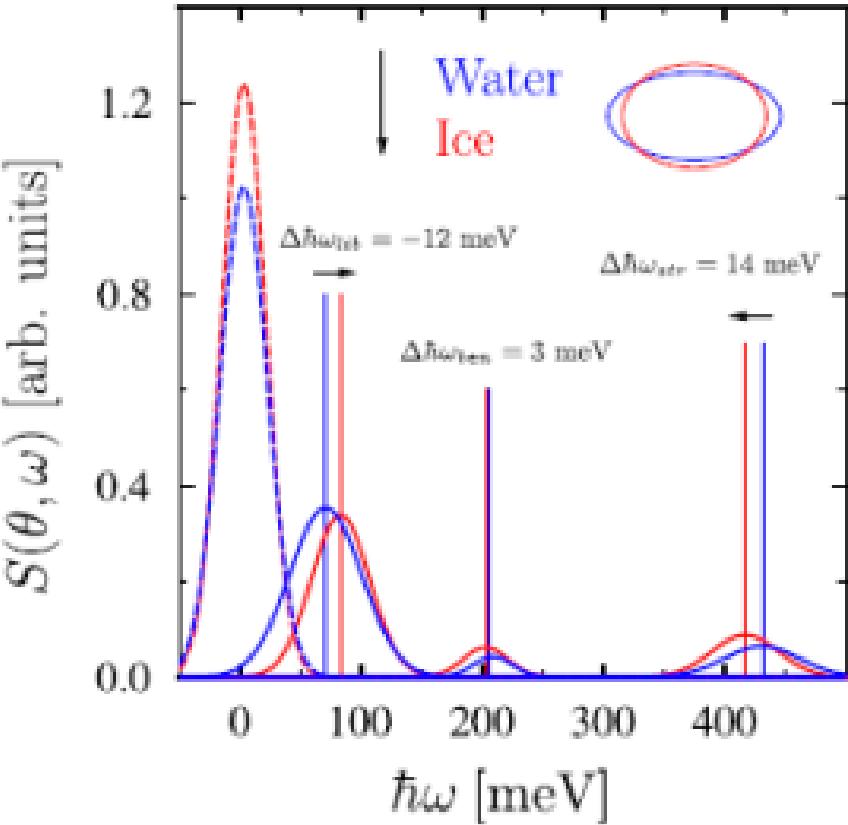


The combination of the two measurement allows to define an anharmonic constant ...

$$\hbar\omega_{str}^{INS} + \frac{\hbar\omega_{str}^{NCS}}{2} = \frac{3}{2}\hbar\omega_{str}^{INS} \left(1 - \frac{3}{2}\chi_e\right) = \left(1 + \frac{1}{2}\right)\hbar\tilde{\omega}$$

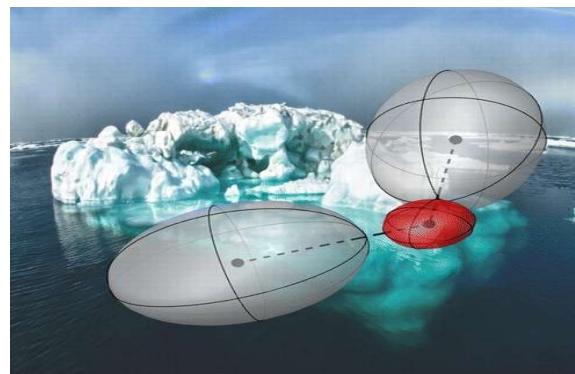


Experiments meet Quantum Monte Carlo modeling: Competing quantum effects across melting



Calculations using flexible potentials by Habershon et al JCP 200 showed that

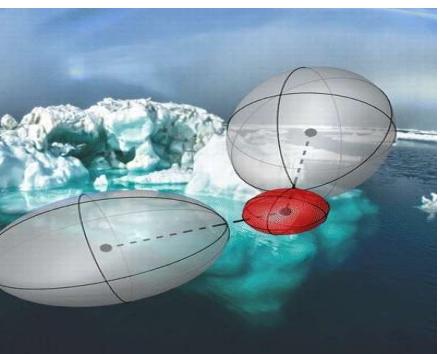
« ..Intramolecular zero point fluctuations increase the average O–H bond length and the average molecular dipole moment, leading to stronger intermolecular interactions and slower diffusion, while intermolecular quantum fluctuations disrupt the hydrogen-bonding network leading to more rapid diffusion. In our q-TIP4P/F model, these two effects nearly cancel one another, leading to a comparatively small net quantum effect on the diffusion coefficient..»



What happens to atomic kinetic energies across melting?

Measurement of Competing Quantum Effects on Kinetic Energy of Heavy Water upon Melting

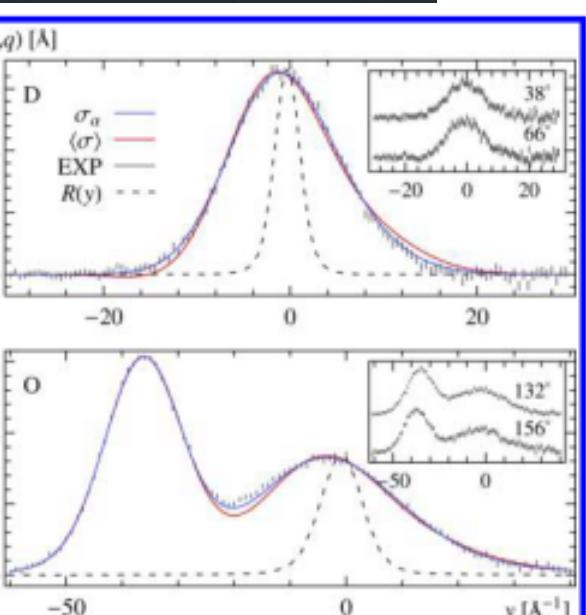
Romanelli, Michele Ceriotti, David E. Manolopoulos, Claudia Pantalei, Carla Andreani, J Phys Chem Lett (2013)



«Imaging» of directional Components of $\langle E_k \rangle$ which are in competition when water transforms from liquid to polycrystal

+

PIMD+ generalised Langevin
By M. Ceriotti and D. Manolopoulos



The Journal of Physical Chemistry Letters

Table 1. Comparison between Theoretical and Experimental Components of the Quantum Kinetic Energy for D and Heavy Water, At Different Temperatures^a

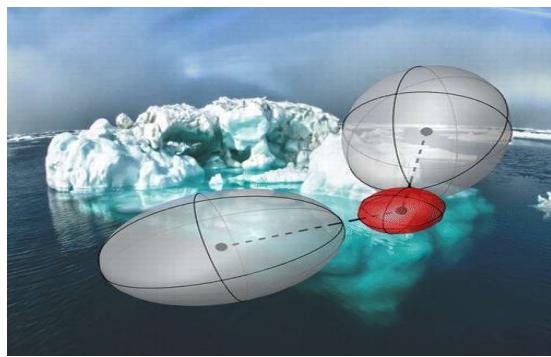
	D [exp]	D [TAG/MSD]	O [exp]	O [TAG/MSD]
D ₂ O, T = 300 K, liquid				$\langle E_{COM} \rangle$
$\langle E_x \rangle$	20.1 ± 1.1	19.5/18.9	15.8 ± 1.7	13.6/13.0
$\langle E_y \rangle$	36.1 ± 2.3	26.1/25.6	19.5 ± 1.3	19.4/19.0
$\langle E_z \rangle$	55.1 ± 2.3	64.6/65.7	26.3 ± 1.5	23.4/23.0
$\langle E_K \rangle$	111.3 ± 3	110.2	61.6 ± 3.1	56.4
D ₂ O, T = 280 K, liquid				$\langle E_{COM} \rangle$
$\langle E_x \rangle$	18.8 ± 1.1	19.4/18.9	16.0 ± 2.3	13.6/13.0
$\langle E_y \rangle$	38.6 ± 2.5	25.7/25.2	21.0 ± 0.6	19.2/19.0
$\langle E_z \rangle$	54.2 ± 2.4	63.6/64.6	24.1 ± 2.1	23.2/23.0
$\langle E_K \rangle$	111.6 ± 2	108.7	61.1 ± 3.1	56.1
D ₂ O, T = 274 K, liquid				$\langle E_{COM} \rangle$
$\langle E_x \rangle$		19.3/19.0		13.4/13.0
$\langle E_y \rangle$		25.8/25.3		19.1/19.0
$\langle E_z \rangle$		63.2/64.1		23.1/23.0
$\langle E_K \rangle$		108.3		55.6
D ₂ O, T = 274 K, solid				$\langle E_{COM} \rangle$
$\langle E_x \rangle$	22.5 ± 1.8	20.1/19.8	16.1 ± 2.3	13.7/13.0
$\langle E_y \rangle$	37.4 ± 2.5	26.3/25.9	20.1 ± 1.6	19.0/19.0
$\langle E_z \rangle$	48.1 ± 3.4	61.9/62.4	24.2 ± 1.4	23.0/23.0
$\langle E_K \rangle$	108.0 ± 2	108.3	60.4 ± 4	55.7

^aAll values are in meV, and the theoretical results have a error bar smaller than 0.1 meV. We also report the computed-of-mass mean kinetic energy $\langle E_{COM} \rangle$ of the D₂O molecules.

Experiments meet Quantum Monte Carlo modeling: H-bonded systems

Direct Measurement of Competing Quantum Effects on the Kinetic Energy of Heavy Water upon Melting

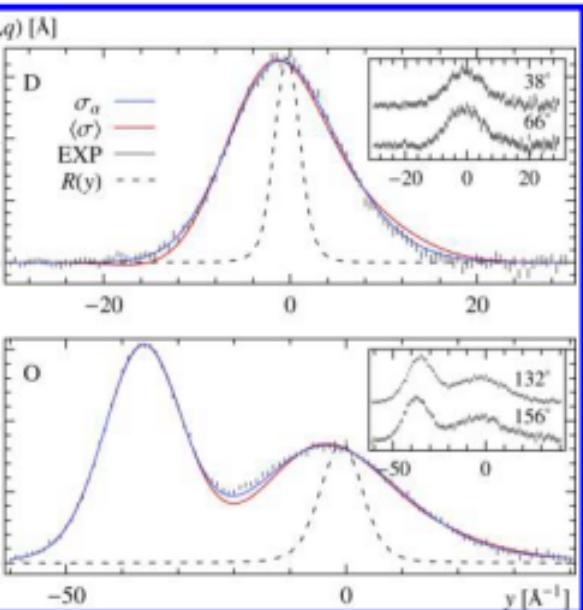
Giovanni Romanelli, Michele Ceriotti, David E. Manolopoulos, Claudia Pantalei, RS and Carla Andreani, J Phys Chem Lett (2013)



HOW?

«Imaging» of directional Components of $\langle E_K \rangle$ which are in competition when water transforms from liquid to polycrystal

PIMD+ generalised Langevin
By M. Ceriotti and D. Manolopoulos



Oxygen kinetic energy

Sensitivity to probe Oxygen binding environment (quantum)
Maybe suitable for water dissociation?

	Light water		Heavy water	
	$\langle E_K \rangle$ [meV]	T [K]	$\langle E_K \rangle$ [meV]	T [K]
Liquid	51 ± 3	276	61 ± 3	280
Solid	56 ± 3	270	60 ± 4	274

Supercooled (and room temperature) bulk liquid water: What is the expected temperature dependence of the proton kinetic energy?

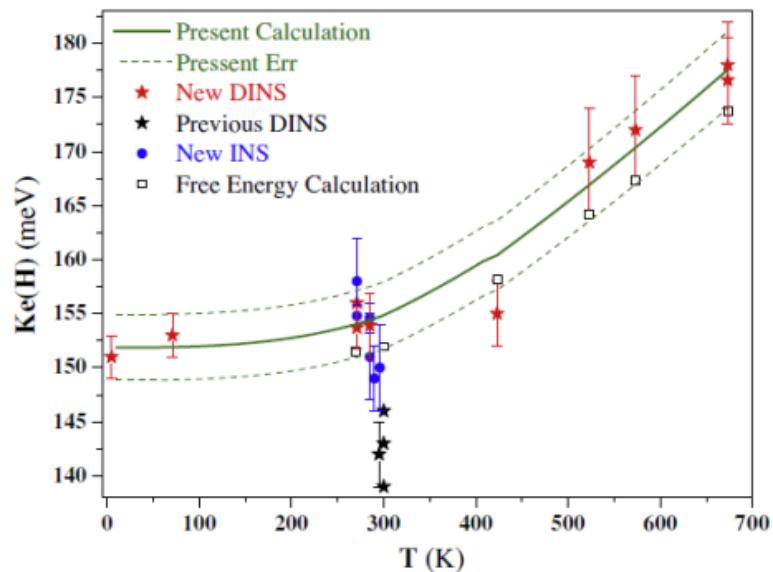
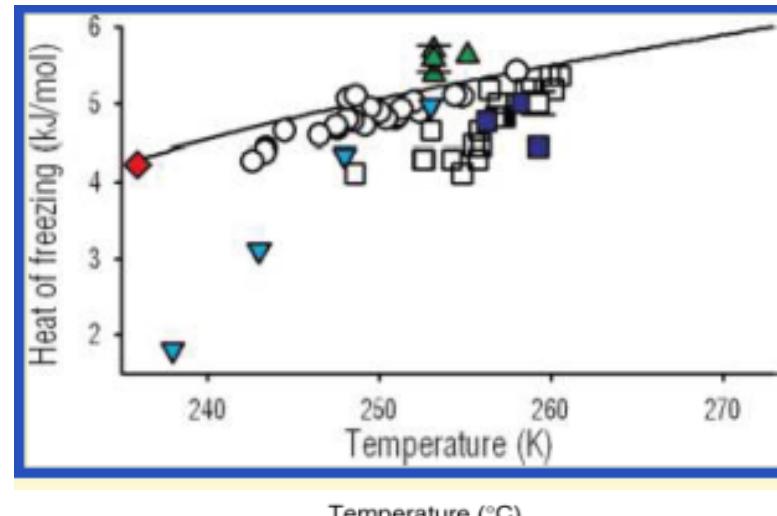


Fig. 2. Calculated Ke(H) values (solid curve) together with the error margins (dashed curves). Solid asterisks are recent (red) and old (black) DINS results. Solid circles (blue) are new INS results. Open squares are Ke(H) values deduced by Colognesi [4.34] using thermodynamic data. The numerical values together with

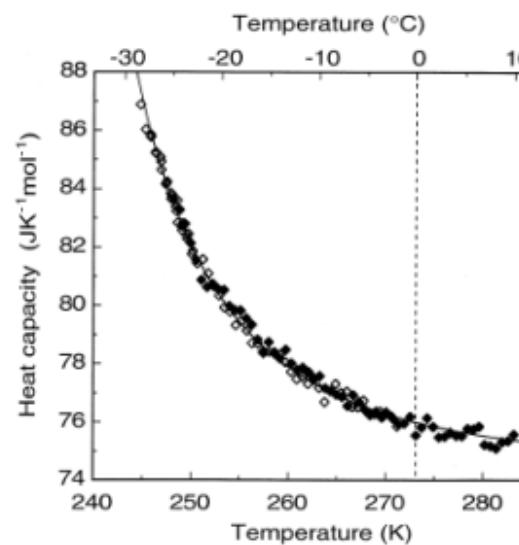
Calculations by Finkelstein and Moreh, Chem Phys 2014
point for an almost «continuous» dependence using

$$H = 3S_T \int_{v_{T0}}^{v_T} g_T(v) \alpha(v) dv + 3S_L \int_{v_{L0}}^{v_L} g_L(v) \alpha(v) dv + \sum_{j=1}^3 S_j \alpha(v_j) \quad (2)$$

With $\alpha(v) = \frac{hv}{2} \left(\frac{1}{e^{hv/kT}-1} + \frac{1}{2} \right)$ with $\alpha(v) = v_j$, v the kinetic energy of



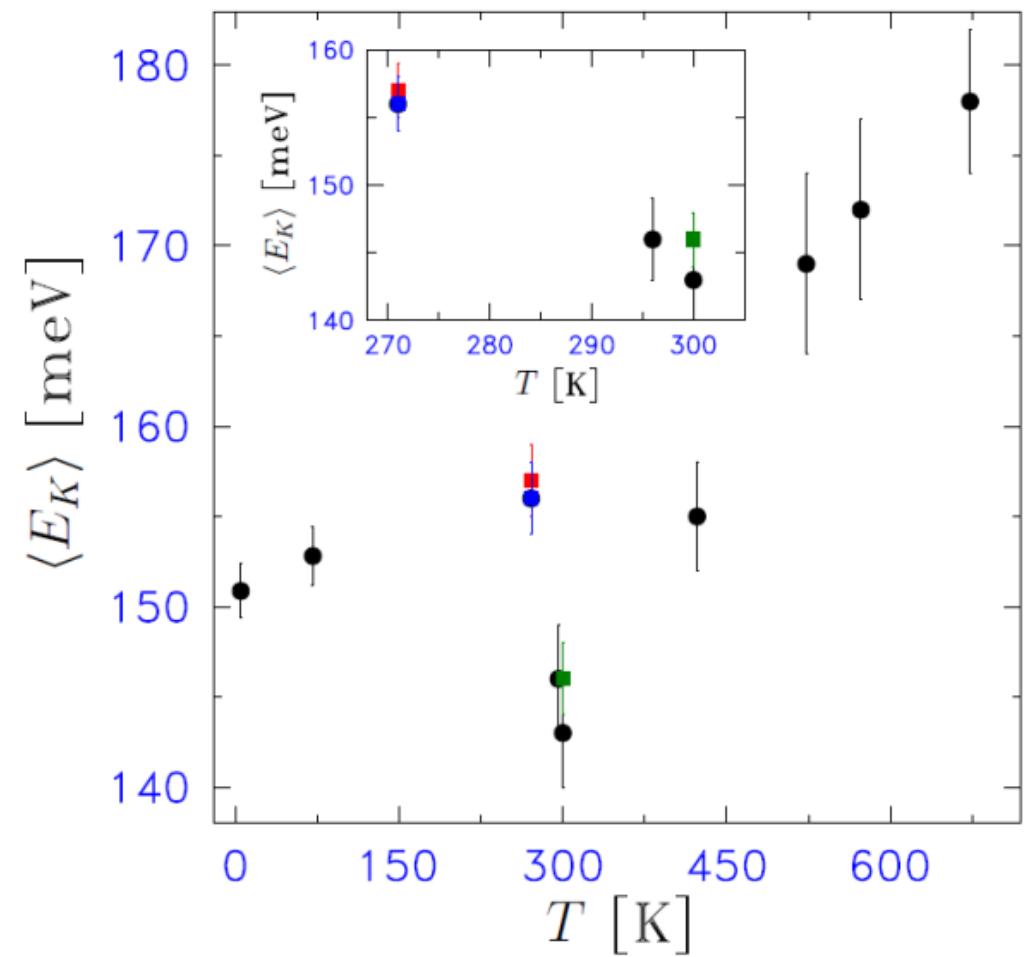
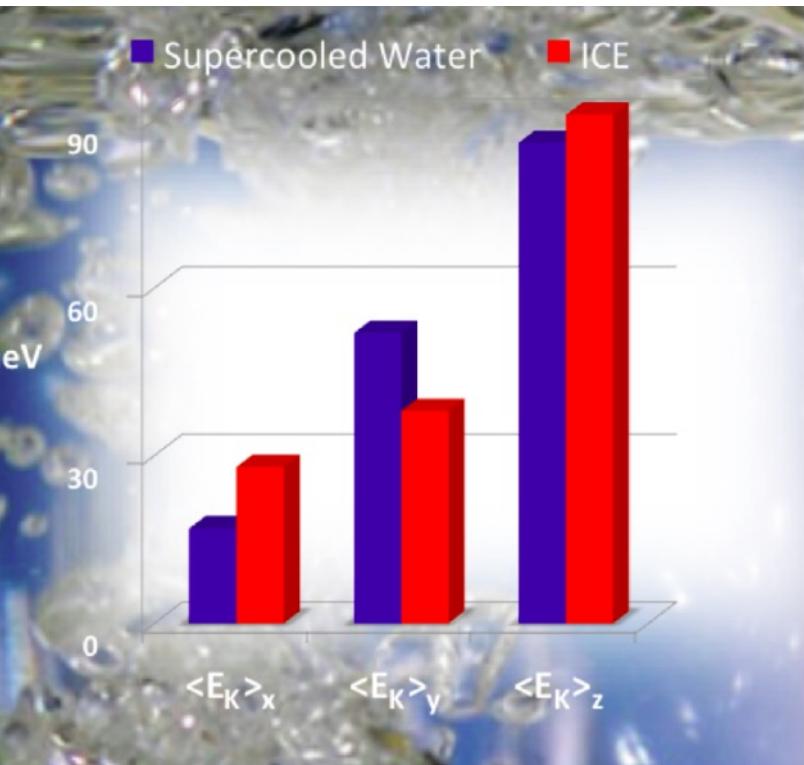
Heat of f
Cantrell
JPCB 201



Specific
Tombari
CPL 199

Fig. 1. Heat capacity of water measured as a function of temperature. Full and open diamonds: data obtained in this study with two different samples; full line: curve fitting the experimental points [$C_p = 0.44 \cdot (T/222 - 1)^{-2.5} + 74.3$].

Supercooled (and room temperature) bulk liquid water:
 First set of experiments pointed out a large excess of $\langle E_K \rangle$ as compared to ice at same temperature T=271 K. Most recent exp reported here, from Andreani et al, JPCL 201



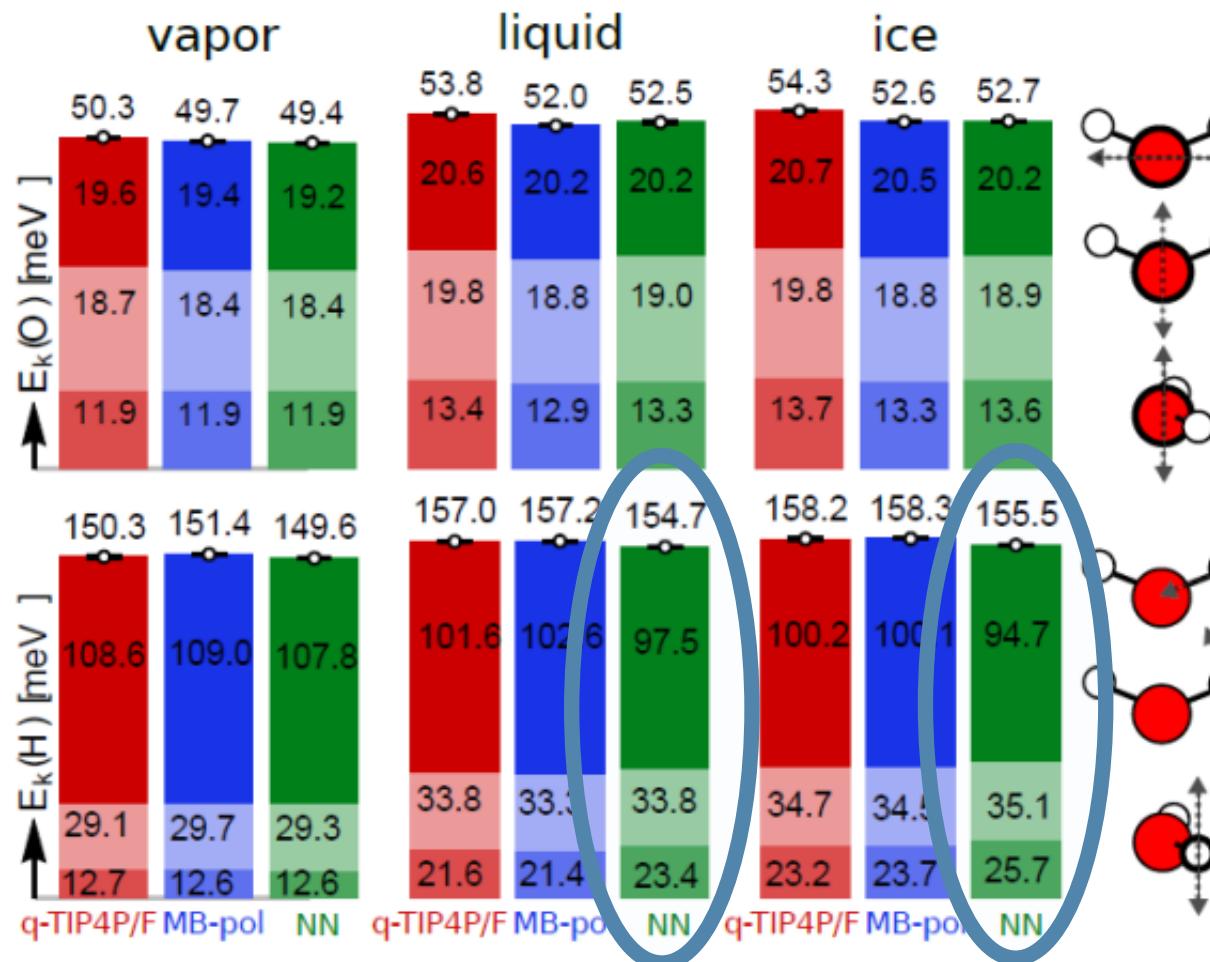
Supercooled (and room temperature) bulk liquid water:

cooled water and ice polycrystal at same T=271 K
competing quantum effects and have similar kinetic
es

n agreement with new simulations appearing on the
ournal issue («blind»joint exp-theory work) for
vapor, liquid, ice at the triple point

Table 1. The $\langle E_K \rangle$ and individual $\langle E_K \rangle_\alpha$ values, from present
TS measurements in bulk SW and ice at $T = 271$ K^a

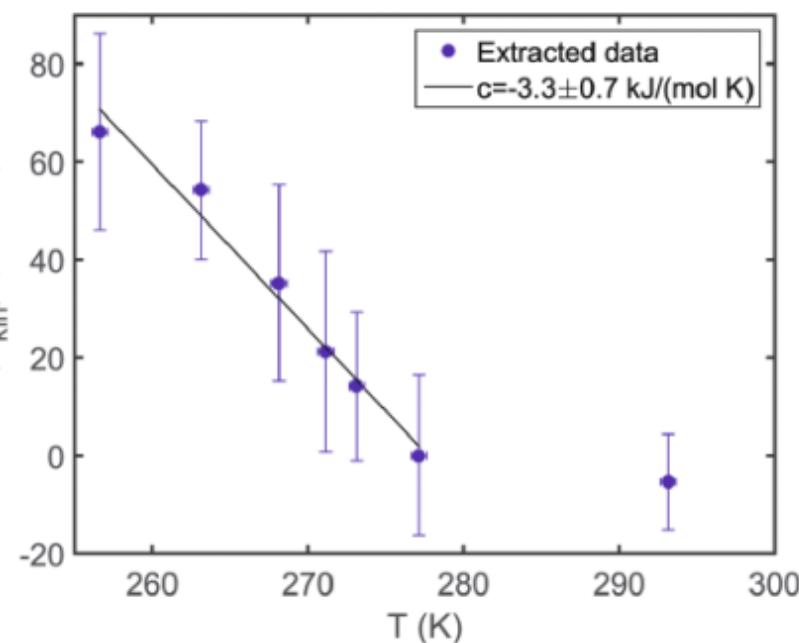
T	[K]	SW	Ice	Ice ²⁵
M1		271	271	271
σ	[\AA^{-1}]	5.01 ± 0.02	5.03 ± 0.03	5.01 ± 0.03
c_4		0.11 ± 0.01	0.11 ± 0.02	0.10 ± 0.01
$\langle E_K \rangle$	[meV]	156.0 ± 2.0	157.0 ± 2.0	156.0 ± 2.0
M2				
σ_x	[\AA^{-1}]	2.9 ± 0.5	3.7 ± 0.1	3.7 ± 0.3
σ_y	[\AA^{-1}]	5.0 ± 0.5	4.3 ± 0.3	4.3 ± 0.4
σ_z	[\AA^{-1}]	6.5 ± 0.2	6.5 ± 0.2	6.5 ± 0.4
$\langle E_K \rangle_x$	[meV]	17 ± 5	28 ± 2	29 ± 4
$\langle E_K \rangle_y$	[meV]	52 ± 10	38 ± 5	38 ± 9
$\langle E_K \rangle_z$	[meV]	86 ± 5	91 ± 5	87 ± 9
$\langle E_K \rangle$	[meV]	156.0 ± 2.0	157.0 ± 2.0	154.0 ± 2.0



Quantitative agreement on total kinetic energies;
quantitative agreement on directional kinetic energies in ice
Andreani et al, JPCL 2016; Cheng et al, JPCL 2016

Supercooled (and room temperature) bulk liquid water: problems solved?

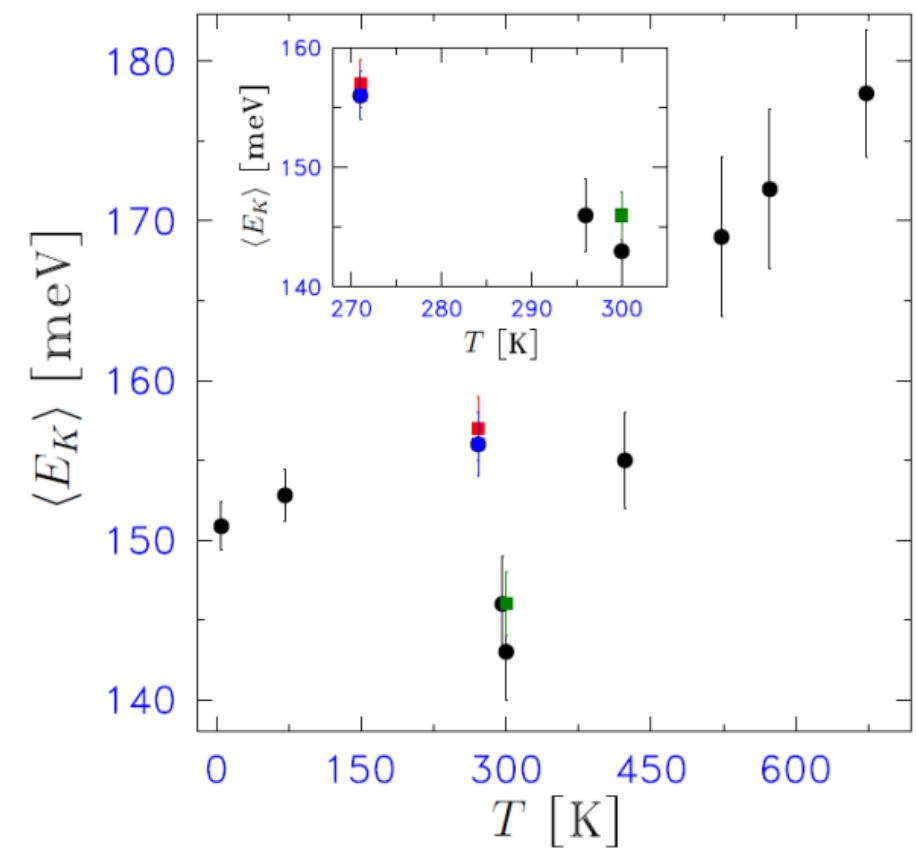
Investigation needed on electron kinetic energies in supercooled water measured by X-Ray Compton scattering



$\Delta \langle E_{\text{kin}} \rangle = \langle E_{\text{kin}}(T) \rangle - \langle E_{\text{kin}}(277 \text{ K}) \rangle$. The solid line is a linear fit with $c = -3.3 \pm 0.7 \text{ kJ} (\text{mol K})^{-1}$.

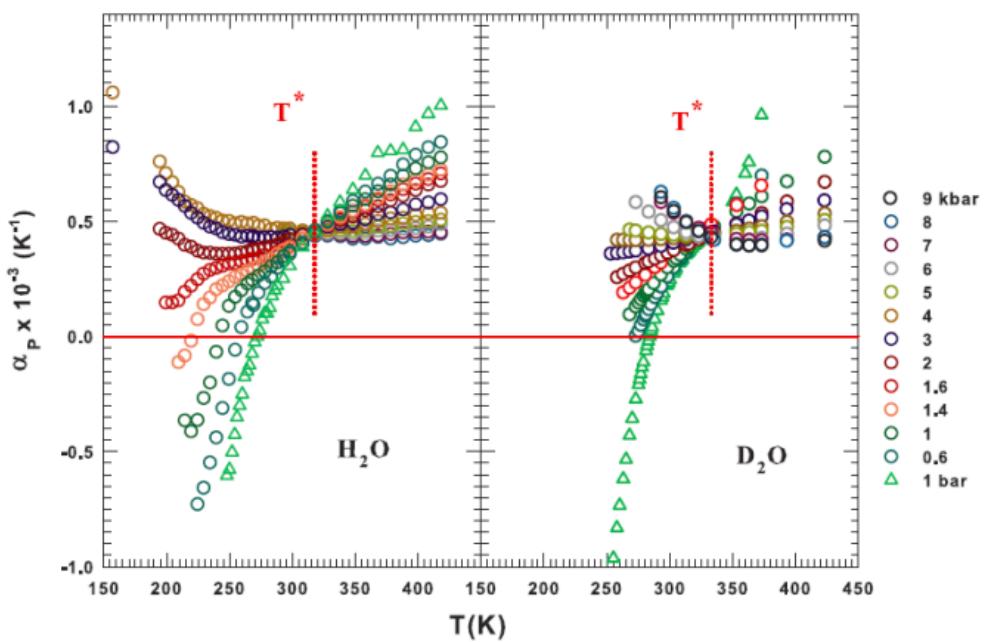
Lehmkuhler et al PCCP 2016: increased tetrahedral ordering by XRS but increased electron kinetic energy and structural modifications by X-Ray Compton

What happens near 300 K?



Mallamace's and co-workers hypothesis near 315 K- MAGIC Temperature

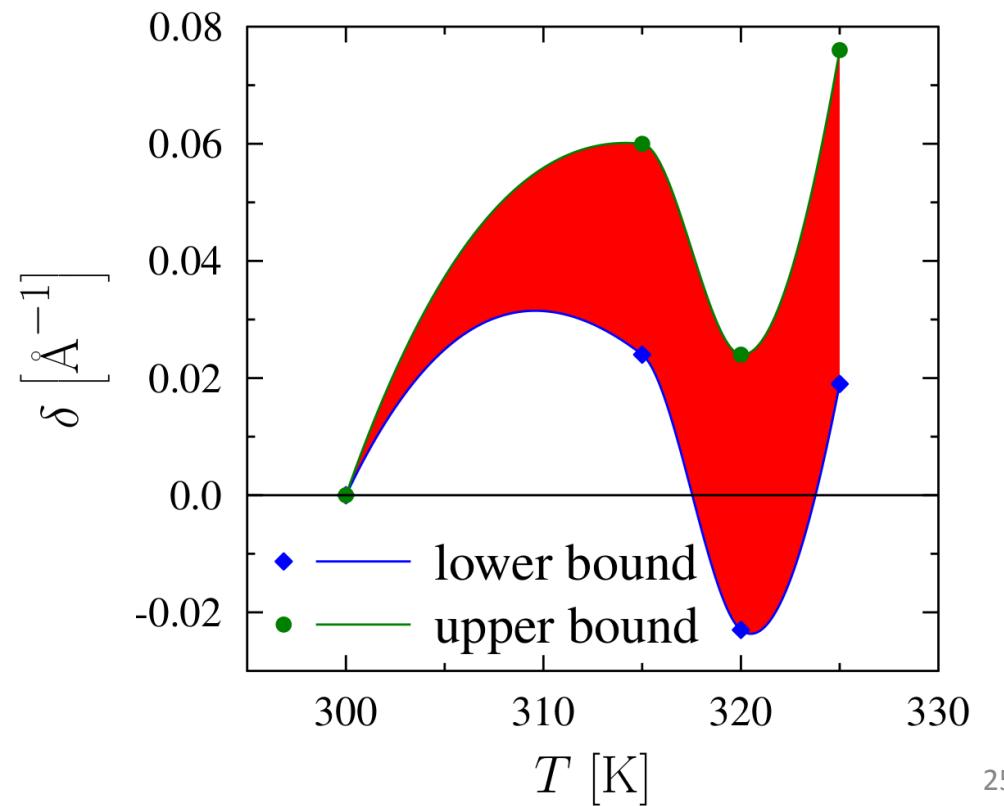
T= 315 K is a special locus of thermodynamic properties in water



coefficient of thermal expansion for bulk (left panel) and heavy (right panel) water as a function of the temperature at different pressures.

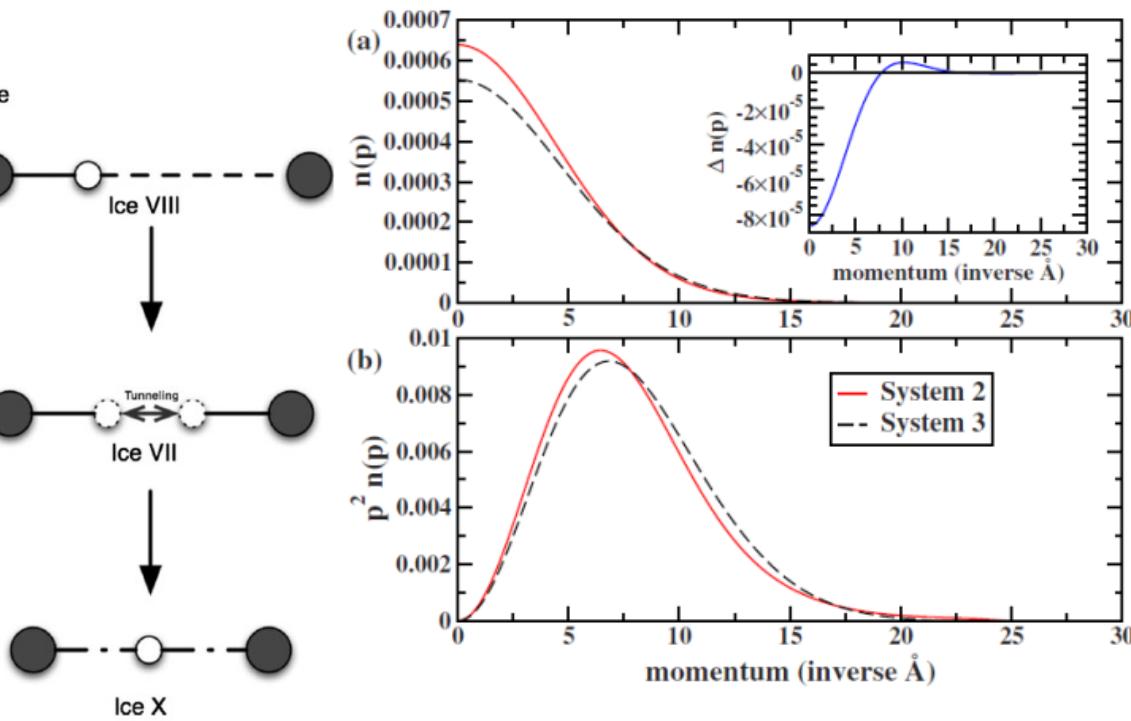
F. Mallamace et al, JCP 2014

Is there a counterpart on the hydrogen's kinetic energy? Preliminary DINS experiment analysis- Delta is the increase (decrease) of momentum width with respect to 300 K



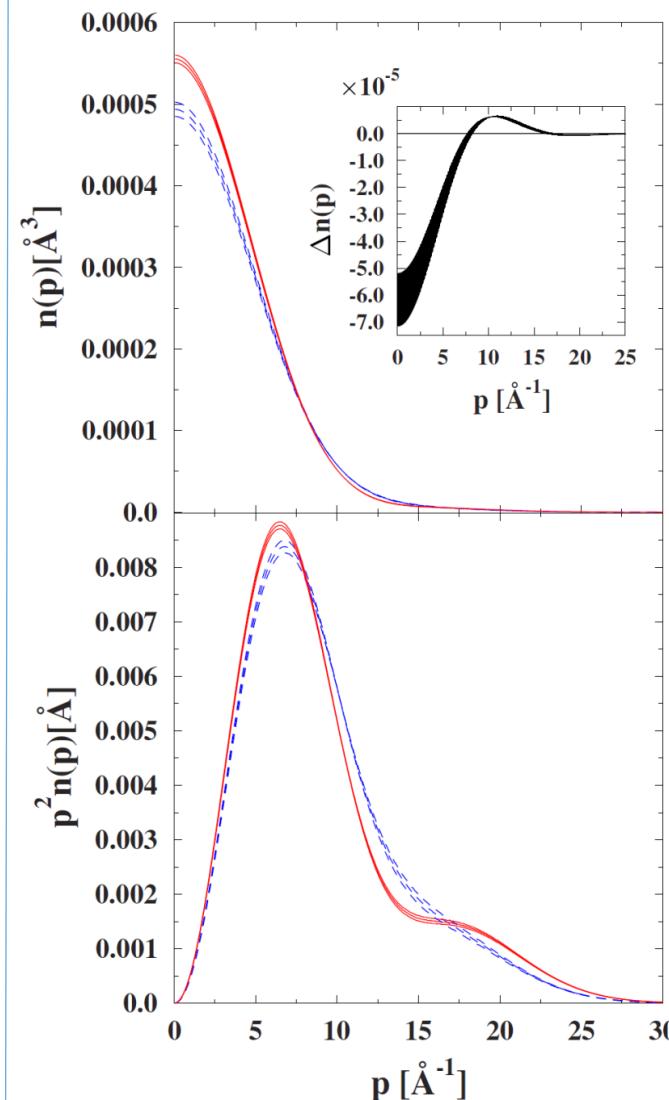
Water protons in shallow potentials: high pressure phases in ice and hydration shells of globular proteins

Coupling and delocalization effects in hydrogen bonded systems: A study in position and momentum space. J. Morrone, R. Car, JCP 2009



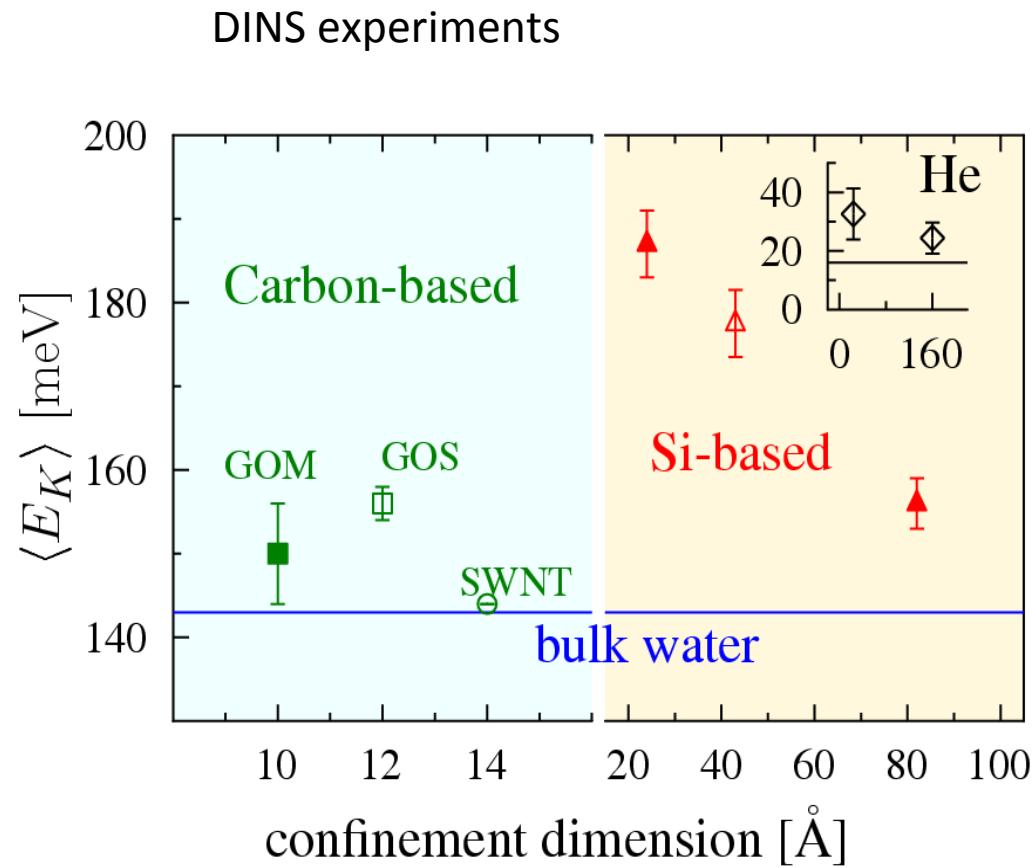
Open Path Car Parrinello Molecular Dynamics

Proton momentum distribution in protein hydration
RS, A. Pietropaolo, A. Bocedi, S. Pagnotta, F. Bruni, PR



290 K Reduced
180 K O-O distance
At 290 K

Water in nanopore confinement



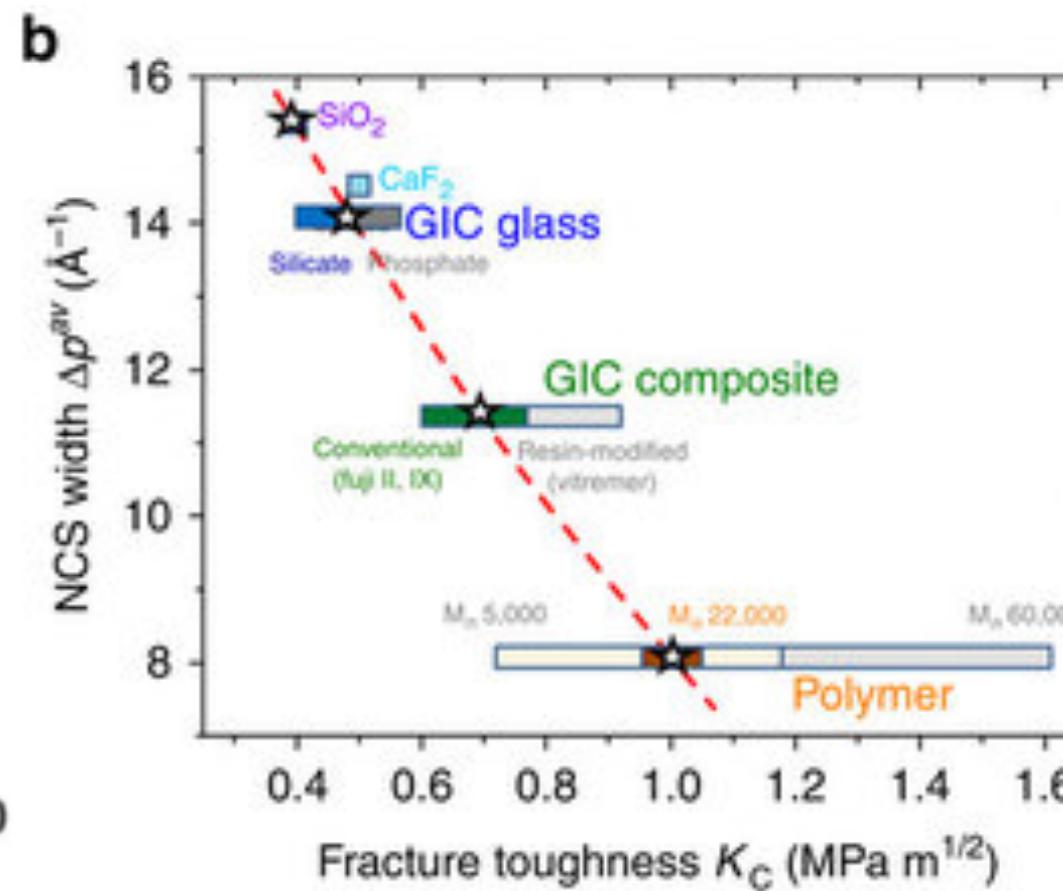
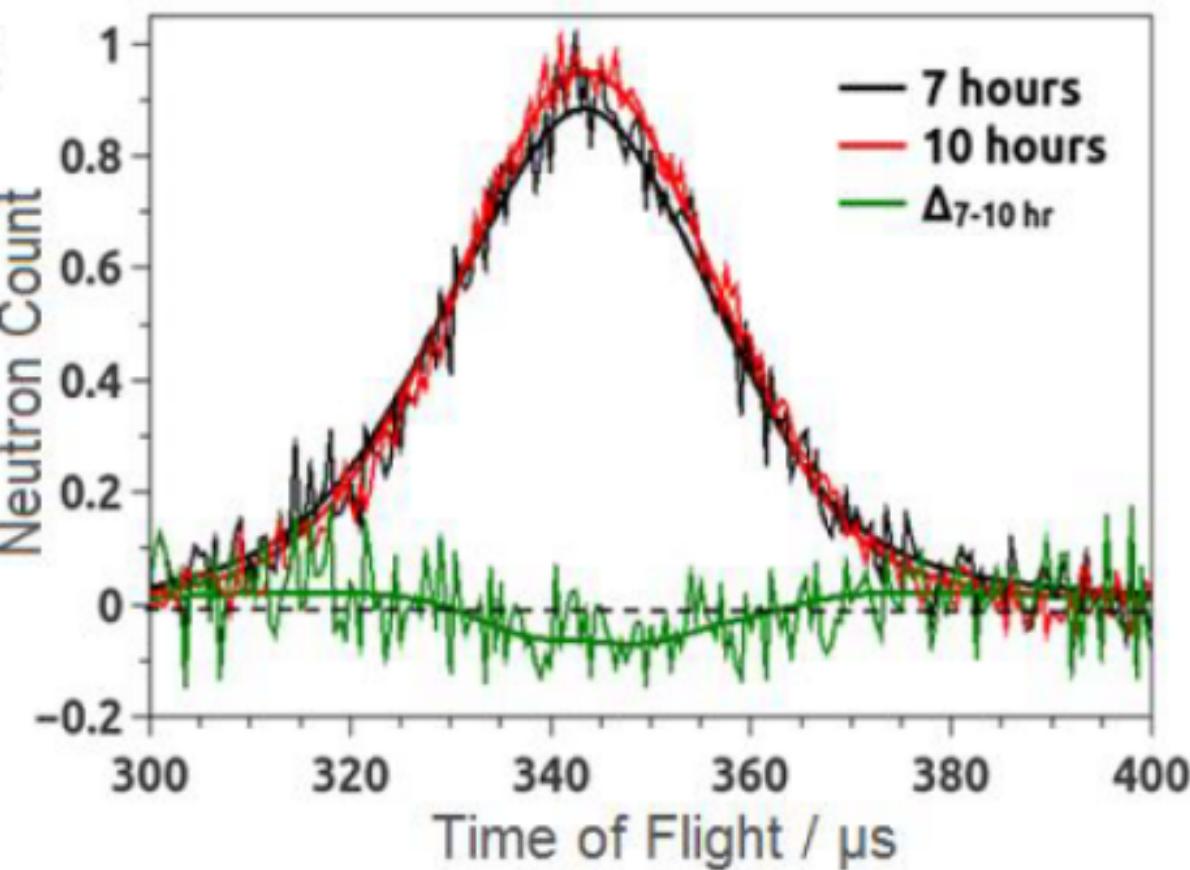
Modeling of quantum kinetic energy?

Reactive potentials +quantum nuclei

To model the interaction with acidic sites

Dental materials: setting of Glass Ionomer Cements role of water's NQE to be investigated.

"*c and vibrational origins of mechanical toughness in bioactive cement during setting*", K. V. Tian et al., Nature Comm.



To be explored by experiment and modeling

PRL 110, 065701 (2013)

PHYSICAL REVIEW LETTERS

week ending
8 FEBRUARY 2013

Role of Quantum Effects in the Glass Transition

V. N. Novikov^{1,2} and A. P. Sokolov^{1,2,3}

It is shown that quantum effects lead to a significant decrease of the glass transition temperature T_g with respect to the melting temperature T_m , so that the ratio T_g/T_m can be much smaller than the typical value

To be explored by modeling: quantum effects in the water adsorption energetics in DNA grooves

PRL 105, 148101 (2010)

PHYSICAL REVIEW LETTERS

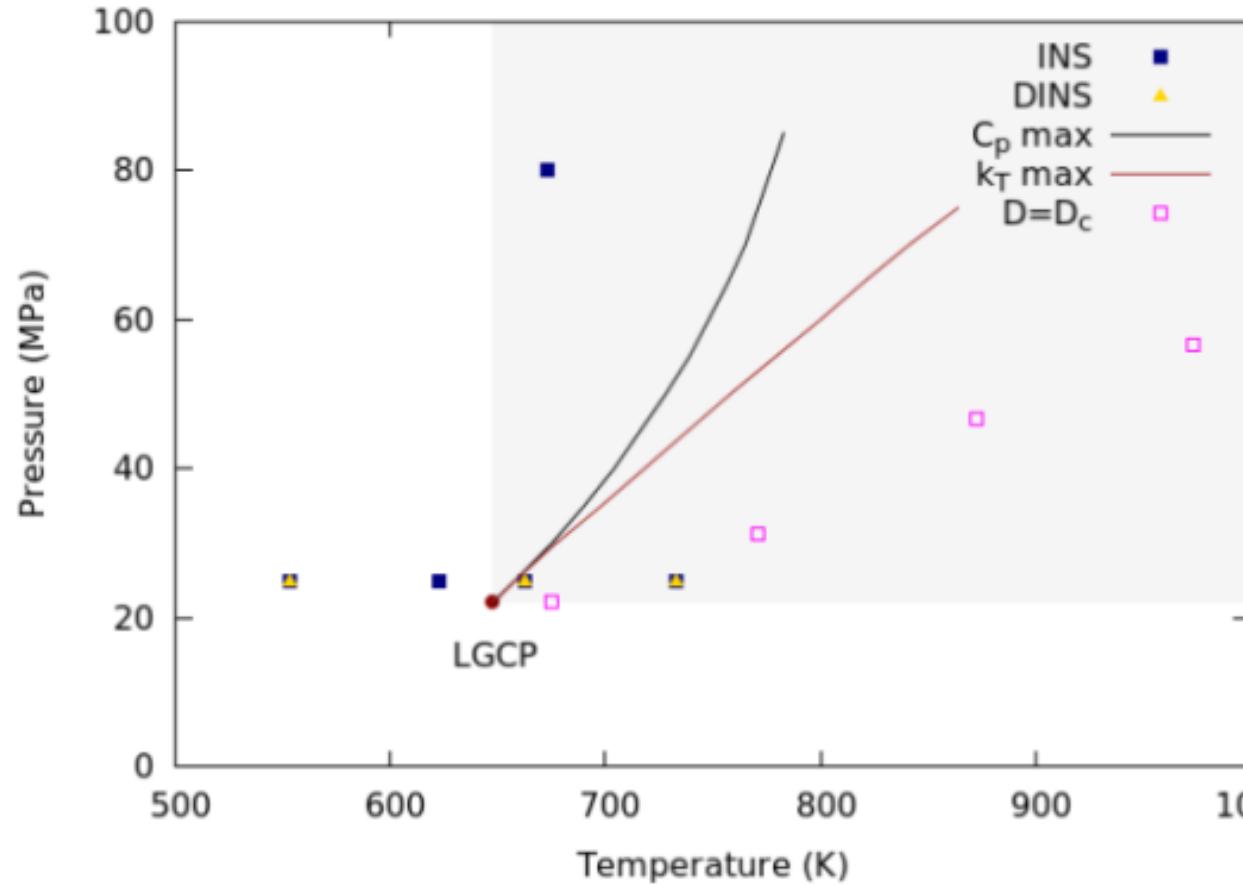
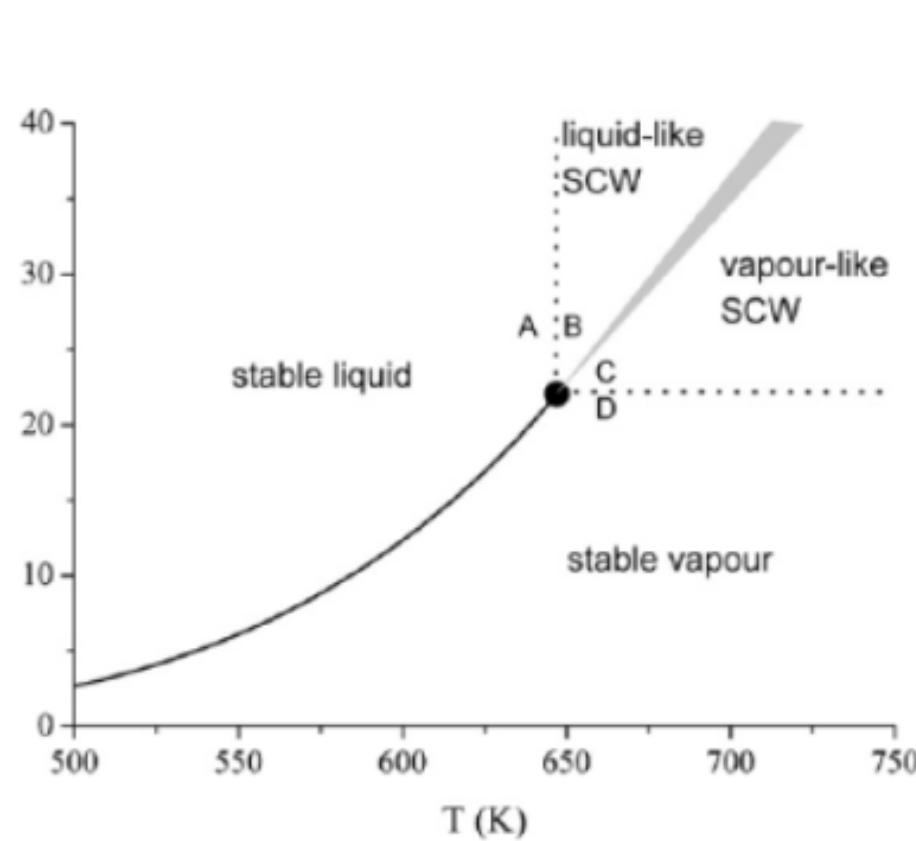
week ending
1 OCTOBER 2010

Changes in the Zero-Point Energy of the Protons as the Source of the Binding Energy of Water to A-Phase DNA

G. F. Reiter,¹ R. Senesi,² and J. Mayers³

The measured changes in the zero-point kinetic energy of the protons are entirely responsible for the binding energy of water molecules to A phase DNA at the concentration of 6 water molecules/base pair.

Supercritical water:nuclear quantum effects across the pseudocritical line(s)



DINS measurements along the 25 Mpa isobar to cross the liquid-like to vapour like boundaries

Technological relevance for next generation reactors

CK Loong, C. Andreani, A. Kolesnikov, A. Parmentier, G. Romanelli, RS, R. Car

Supercritical water:nuclear quantum effects across the pseudocritical line(s)

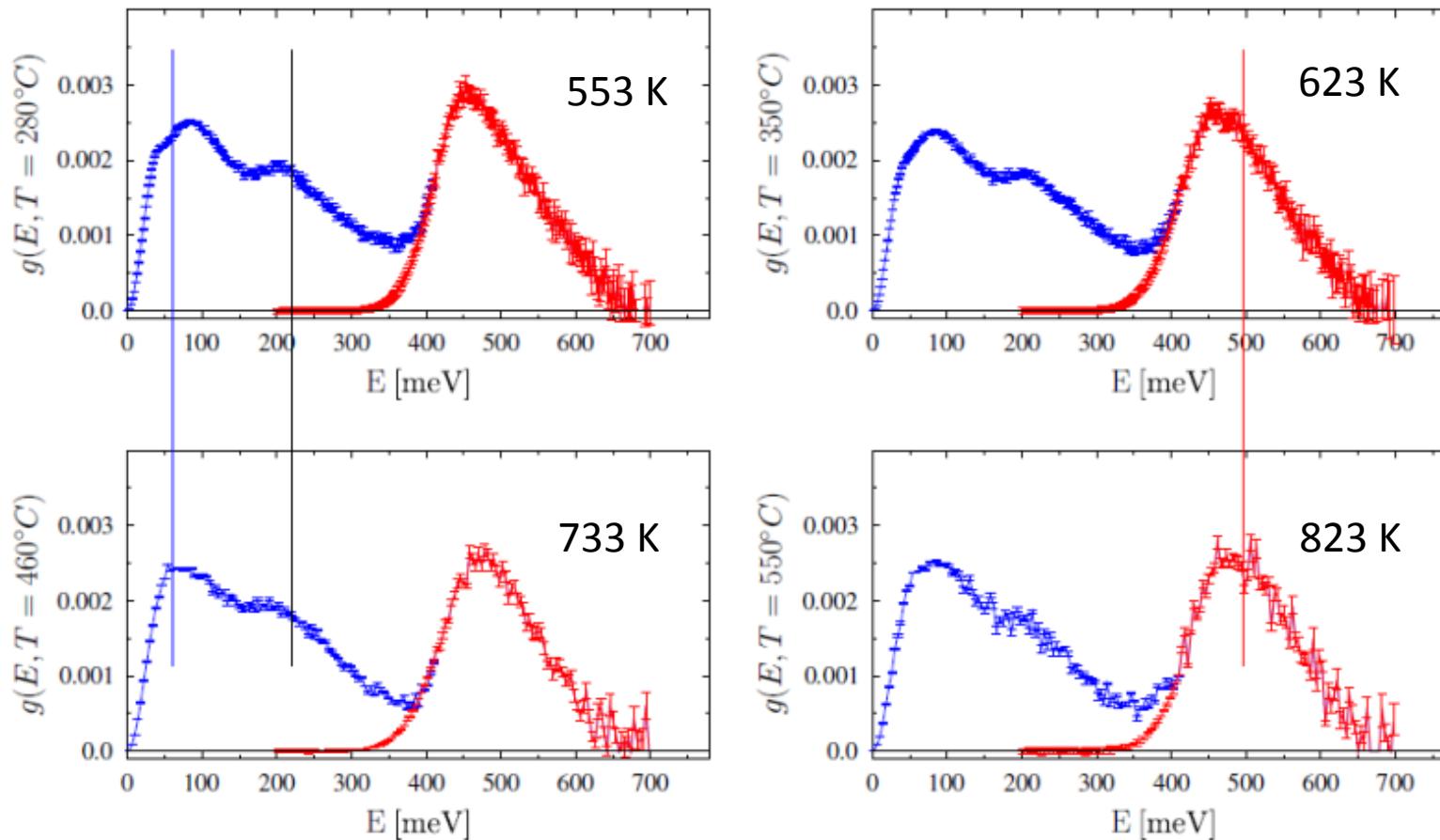


Figure 4: Density of states $g(E)$ (blue) and stretching contribution $g_{str}(E)$ (red) for $T=280\text{ C}$, 350 C , 460 C , 550 C . The main difference between the lower temperatures (top) and the higher temperatures (bottom) is the shift, in opposite directions, of stretching and libration contributions, while the bending is not effected.

Data analysis under
Consolidation
(see presentations by
A. Parmentier)

Supercritical water:nuclear quantum effects across the pseudocritical line(s)

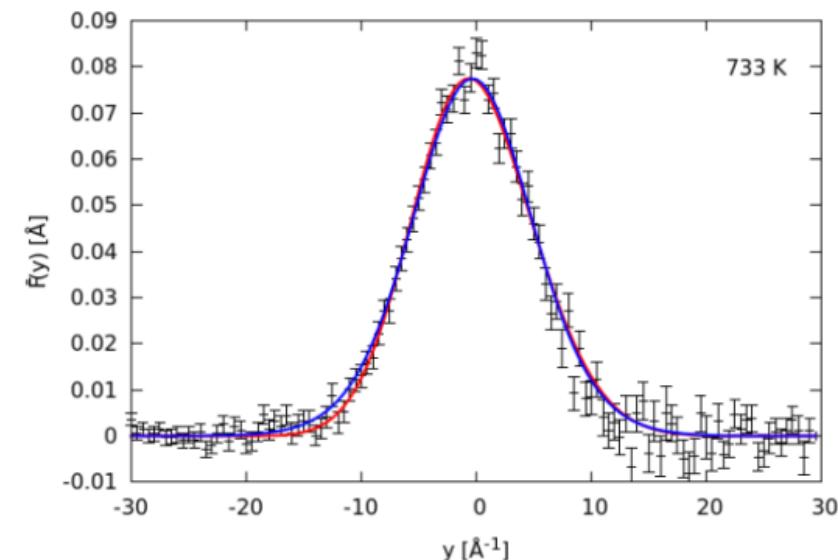
Table 7.4: Directional energies and $\langle E_k \rangle$ as deduced from the harmonic decoupling model described in Eqs. 7.1-7.3 for the liquid case.

	SUB	SUB	SUPER	SUPER	SUPER
Temperature [K]	553	623	673	663	733
Pressure [MPa]	25	25	80	25	25
Density [$\frac{g}{cm^3}$]	0.777	0.625	0.660	0.215	0.104
$\langle E_k \rangle_x$ [meV]	25.20	27.92	30.06	29.23	32.17
$\langle E_k \rangle_y$ [meV]	37.12	39.00	40.54	40.00	42.15
$\langle E_k \rangle_z$ [meV]	104.62	105.74	106.70	108.59	110.19
$\langle E_k \rangle$ [meV]	166.94	172.66	177.30	177.82	184.44

$$\langle E_k \rangle_x = 2S_{lib} \frac{\hbar\omega_{lib}}{4} \coth\left(\frac{\hbar\omega_{lib}}{2K_B T}\right) + S_{tra} \frac{1}{2} K_B T$$

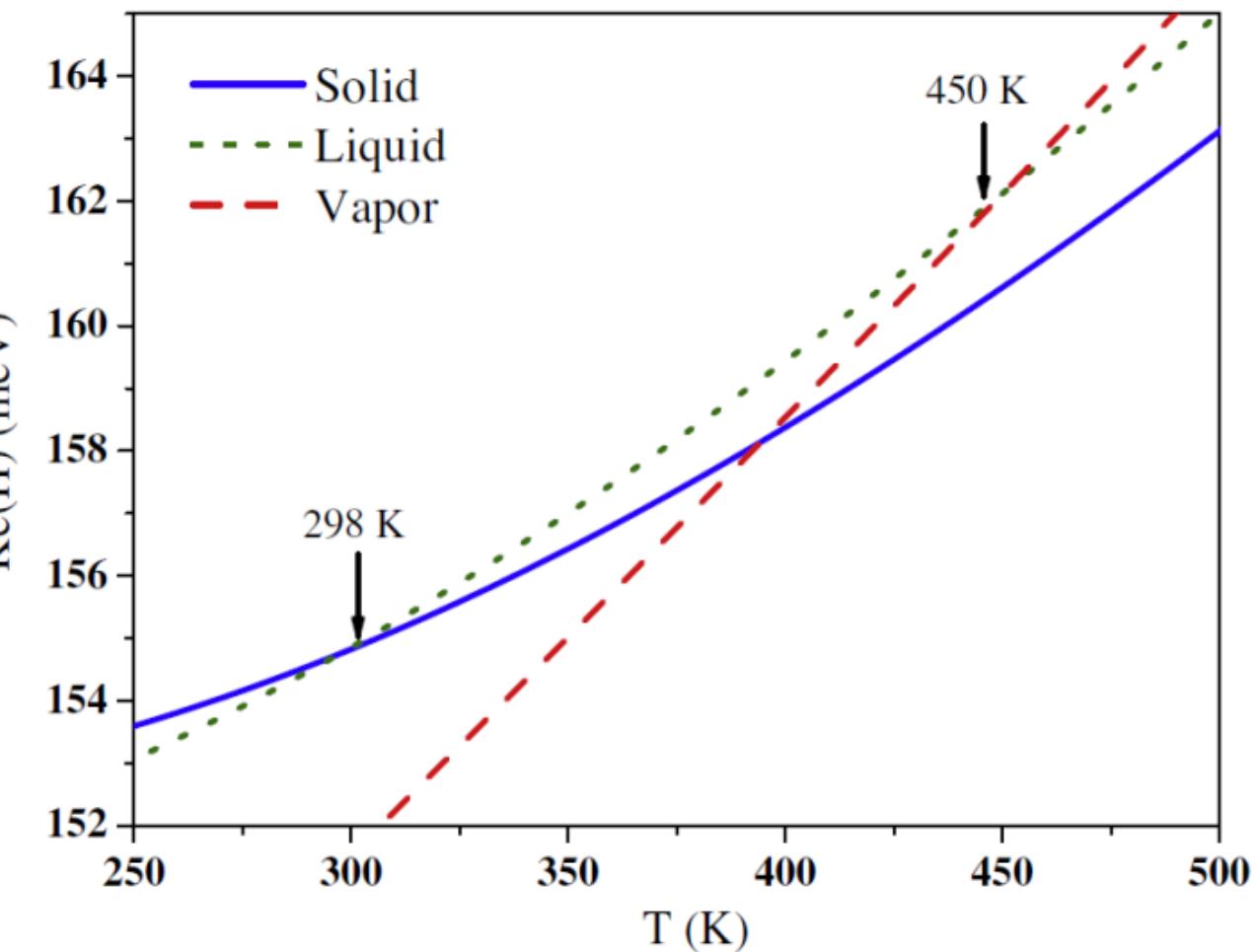
$$\langle E_k \rangle_y = S_{lib} \frac{\hbar\omega_{lib}}{4} \coth\left(\frac{\hbar\omega_{lib}}{2K_B T}\right) + S_{ben} \frac{\hbar\omega_{ben}}{4} \coth\left(\frac{\hbar\omega_{ben}}{2K_B T}\right) + S_{tra} \frac{1}{2} K_B T$$

$$\langle E_k \rangle_z = 2S_{str} \frac{\hbar\omega_{str}}{4} \coth\left(\frac{\hbar\omega_{str}}{2K_B T}\right) + S_{tra} \frac{1}{2} K_B T$$

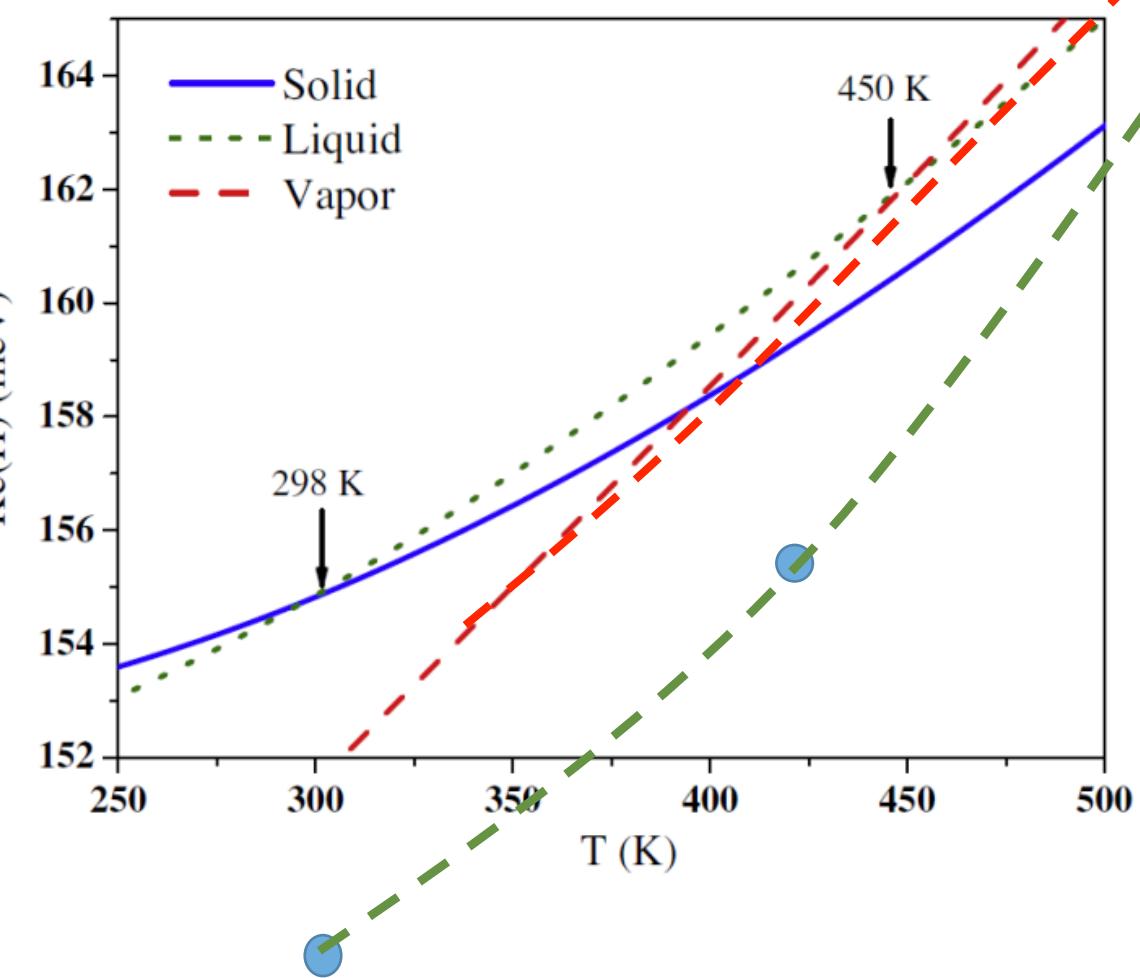


Sensitivity and consistency checks between modeling of DINS line shapes and vibrational INS data, relevant for low density measurements <0.15 g/cm³

Supercritical water:nuclear quantum effects across the pseudocritical line(s)



Models of hydrogen kinetic energy temperature increases towards the water liquid-vapour critical point (Finkelstein, More Chem Phys 2014)



A pseudocritical (Widom) line of kinetic energy?

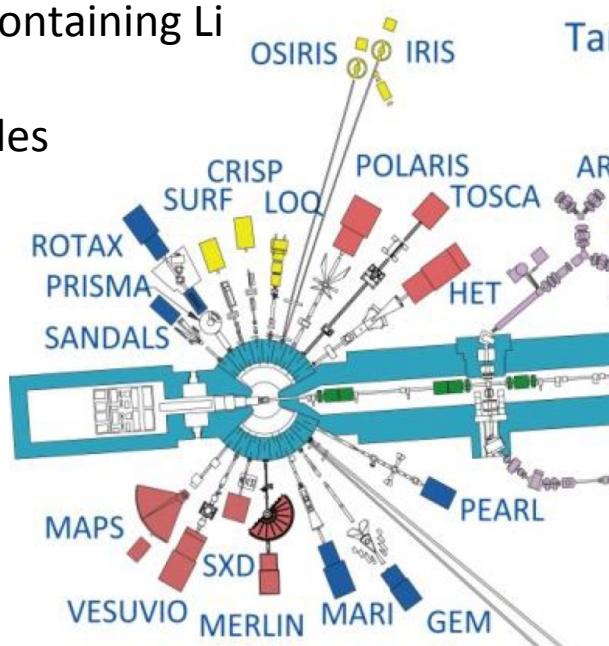
TS-1

OUTLOOK

Combination of phonon (DFT) calculations and Path Integral

To model experiments on atomic quantum dynamics of more complex water systems in the context of

- Ionic conductors
- Disordered materials
- Molten salts containing Li
- Glasses
- Macromolecules



VESUVIO is now aiming at exploiting element-specific and mass resolved spectroscopy for complex and disordered materials

JOURNAL OF PHYSICS: CONFERENCE SERIES
The open access journal for conferences
VI Workshop in Electron Volt Neutron Spectroscopy: Frontiers and Horizons
Abingdon, UK
20–21 January 2014
Editors: A G Seel and R Senesi
Volume 571 2014
jpcs.iop.org
IOP Publishing

The cover of the journal features a molecular model of water molecules (H2O) in the bottom right corner. The background is a dark blue gradient.

*For a recent overview and discussion please download (**FOR FREE**): JOURNAL OF PHYSICS: CONFERENCE SERIES VOLUME 571 (2014). doi:[10.1088/1742-6596/571/1/011001](https://doi.org/10.1088/1742-6596/571/1/011001)*